

AGENDA

CITY OF TAYLOR, TEXAS
CITY COUNCIL MEETING
CITY HALL, COUNCIL CHAMBERS, 400 PORTER STREET

JULY 11, 2013, 6:00 P.M.

CALL TO ORDER AND DECLARE A QUORUM

INVOCATION

PLEDGE OF ALLEGIANCE

CITIZENS COMMUNICATION

(The City Council welcomes public comments on items not listed on the agenda. However, the Council cannot respond until the item is posted on a future meeting agenda. Registration forms are available at the sign in table.)

CONSENT AGENDA

(The Consent Agenda includes non-controversial and routine items that the Council may act on with one single vote. The Mayor or any Council member may pull any item from the Consent Agenda to discuss and act upon individually on the Regular Agenda.)

1. Approve minutes for June 27, 2013. (Susan Brock)
2. Consider accepting Semi-Annual Comprehensive Plan Implementation Committee Report. (John Elsdon)
3. Consider approving Resolution R13-14 update and renew Investment Policy to add brokerage firm. (Rosemarie Dennis)

PROCLAMATION

4. Proclamation: August is Breastfeeding Awareness Month. (Mayor)

REGULAR AGENDA; REVIEW/DISCUSS AND CONSIDER ACTION

5. Consider introducing Ordinance 2013-10 granting the fire department the authority to enforce violations of codes, rules, administrative procedures, ordinances, and statutes within the city that pertain to fire and fire safety. (Chief Ekiss)
6. Consider officially accepting donation of \$50,000 to the Moody Museum from the Nancy Moody estate. (Jim Dunaway)
7. Discuss strategic planning process. (Jim Dunaway)
8. Consider proposed future agenda topics and items for discussion. (Jim Dunaway)

ADJOURN

The Council may vote and/or act upon each of the items listed in this Agenda. The Council reserves the right to retire into executive session concerning any of the items listed on this Agenda, whenever it is considered necessary and legally justified under the Open Meetings Act. I certify that the notice of meeting was posted in the Taylor City Hall Lobby before 6:00 pm on July 8, 2013 and remained posted for at least 72 hours continuously before the scheduled time of said meeting. I further certify that the following news media was notified of this meeting: Taylor Daily Press.

In compliance with the ADA the City Hall and Council Chambers are wheelchair accessible. Reasonable accommodations will be provided for persons attending city council meetings in need of special assistance. Please contact Susan Brock, City Clerk, at least 24 hours prior to the meeting for special assistance.

Posted By: Susan Brock Date 7/8/13
Susan Brock, City Clerk



***City Council Meeting
July 11, 2013
Agenda Item Transmittal***

Agenda Item #: 1
Agenda Title: Approve minutes for June 27, 2013.
Council Action: Approve by consent or approve with corrections
Initiating Department: City Management/City Clerk
Staff Contact: Susan Brock, City Clerk

1. INTRODUCTION/PURPOSE

Pursuant to the Open Meetings Law, Chapter 551, Local Government Code and in accordance with the authority contained in Section 551.021 and the City Charter, the “Minutes” of each City Council must be recorded, compiled and approved by the City Council in subsequent meetings. The purpose of this item is to conform to these legal requirements.

2. DESCRIPTION/ JUSTIFICATION

N/A

3. FINANCIAL/BUDGET

N/A

4. RECOMMENDATION

Approve as submitted or amend with changes noted.

5. REFERENCE FILES

1a. [Minutes, June 27, 2013.](#)

The City Council of the City of Taylor met on June 27, 2013, at City Hall, 400 Porter St., Taylor, Texas. Mayor Jesse Ancira, Jr. declared a quorum and called the meeting to order at 6:00 p.m. with the following present:

Mayor Pro Tem Chris Gonzales
Council Member Scott Green
Council Member Don Hill
Council Member Brandt Rydell

Jim D. Dunaway, City Manager
Jeff Straub, Assistant City Manager
Ted Hejl, City Attorney
Susan Brock, City Clerk

INVOCATION

Mr. Straub led the group in prayer.

PLEDGE OF ALLEGIANCE

CITIZENS COMMUNICATION

Ms. Susan Komandosky provided a brief update on the latest activities at the Moody Museum and presented a check for the Museum in the amount of \$50,000 received from the estate of Nancy Moody.

CONSENT AGENDA

1. APPROVE MINUTES FOR JUNE 13, 2013.
2. CONSIDER APPROVING RESOLUTION R13-13 AUTHORIZING SIGNATURES ON THE CITY'S INVESTMENT AND BANK ACCOUNTS.
3. APPROVE ORDINANCE 2013-15 REGARDING THE ANNEXATION OF LAND TO THE NORTH OF THE CITY OF TAYLOR ALONG CHANDLER ROAD FROM THE VICINITY OF US HWY 95 TO THE VICINITY OF CR 366.

ORDINANCE 2013-15

AN ORDINANCE OF THE CITY OF TAYLOR, TEXAS PROVIDING FOR THE ANNEXATION OF THE TRACT OF LAND HEREINAFTER MORE SPECIFICALLY DESCRIBED BY METES AND BOUNDS TO THE CITY OF TAYLOR, TEXAS FOR ALL MUNICIPAL PURPOSES; AND LOCATED WITHIN THE EXTRATERRITORIAL JURISDICTION OF THE CITY OF TAYLOR, TEXAS; PROVIDING FOR AN ANNEXATION SERVICE PLAN AND THE EXTENSION OF THE CORPORATE LIMITS OF THE CITY OF TAYLOR, TEXAS TO INCLUDE THE ANNEXED TRACT; PROVIDING FOR PARTIAL INVALIDITY; PROVIDING FOR SEVERABILITY; PROVIDING FOR SAVINGS; PROVIDING FOR ENGROSSMENT AND ENROLLMENT; PROVIDING FOR PUBLICATION IN THE OFFICIAL NEWSPAPER OF THE CITY OF TAYLOR, TEXAS AND PROVIDING AN EFFECTIVE DATE.

4. CONCUR WITH PRELIMINARY FINANCIALS FOR MAY, 2013.
Council Member Hill moved to approve the consent agenda as presented and Council Member Green seconded the motion. VOTE: Five voted AYE. Motion passed

PROCLAMATION

5. PROCLAMATION: RECOGNIZING THE 90TH ANNIVERSARY OF THE TAYLOR KIWANIS.

Mayor Ancira presented a proclamation to the members of the Taylor Kiwanis naming June 28th as Taylor Kiwanis Day in the City of Taylor.

REGULAR AGENDA: REVIEW/DISCUSS AND CONSIDER ACTION

6. CONSIDERATION AND ACTION WITH RESPECT TO ORDINANCE 2013-13 AUTHORIZING THE ISSUANCE OF 43,000,000 CITY OF TAYLOR, TEXAS COMBINATION TAX AND REVENUE CERTIFICATES OF OBLIGATION, SERIES 2013; LEVYING AN AD VALOREM TAX AND PLEDGING CERTAIN SURPLUS REVENUES IN SUPPORT OF THE CERTIFICATES; APPROVING AN OFFICIAL STATEMENT, A PAYING AGENT/REGISTRAR AGREEMENT AND OTHER AGREEMENTS RELATING TO THE SALE AND ISSUANCE OF THE CERTIFICATES; AND ORDAINING OTHER MATTERS RELATING TO THE ISSUANCE OF THE CERTIFICATES.

Ms. Rosemarie Dennis, Finance Director, presented a review of the process of securing funds through the issuance of certificates of obligation. Ms. Jennifer Douglas and Mr. Bart Fowler of Specialized Finance presented the results of the sale of the funds earlier in the day. These funds will serve to fund Phase I of the Street Maintenance Program.

Mayor Pro Tem Gonzales moved to approve Ordinance 2013-13 and authorized all actions listed and Council Member Rydell seconded the motion. VOTE: Five voted AYE. Motion passed.

7. CONSIDER PARTICIPATING WITH THE TAYLOR ECONOMIC DEVELOPMENT CORPORATION, TAYLOR INDEPENDENT SCHOOL DISTRICT, AND THE TAYLOR CHAMBER OF COMMERCE IN A PROPOSED COMMUNITY DEVELOPMENT STRATEGIC PLAN TO BE CONDUCTED BY TEEX.

Mr. Straub presented an overview of the proposal under consideration from Texas A&M Engineering Extension Service (TEEX) to develop a community strategic plan. Mr. Sean Stockard, TEDC President, provided background information on the process.

Following a discussion on the merits of such a project, Council Member Hill moved to approve \$12,262.67 to support the efforts to develop a community strategic plan and Council Member Green seconded the motion. VOTE: Four voted AYE; One vote NO (Gonzales). Motion passed.

8. CONSIDER CONFIRMING CITY MANAGER REAPPOINTMENT OF CLARENCE TOLLIVER, SR. TO THE CIVIL SERVICE COMMISSION.

Mr. Dunaway presented a request to confirm his reappointment of Mr. Clarence Tolliver, Sr. to the Civil Service Commission.

Council Member Hill moved to approve the reappointment and Council Member Rydell seconded the motion. VOTE: Five voted AYE. Motion passed.

9. CONSIDER BOARD APPOINTMENTS TO CPIC, PLANNING AND ZONING, ZONING BOARD OF ADJUSTMENTS, MOODY MUSEUM AND AIRPORT ADVISORY BOARDS.

Ms. Brock presented a request to consider appointments and reappointments to city boards and commissions. These midyear appointments became necessary due to vacancies that have occurred since the appointments made in January of this year.

Mayor Pro Tem Gonzales moved to approve the appointments as presented and Council Member Scott seconded the motion. VOTE: Five voted AYE. Motion passed.

10. CONSIDER DEVELOPMENT OF A STREET MAINTENANCE FEE MECHANISM.

Mr. Straub presented a review of his efforts to develop a street maintenance fee mechanism. Council agreed by consent to move forward with the process.

11. CONSIDER FORMATION OF A TRANSPORTATION COMMITTEE.

During the discussion of board appointments Mr. Dunaway provided an overview of previous interest in the possibility of creating a new advisory board that would deal with all forms of transportation, street, air, rail, and any other future issues related to transportation, including the development of a street maintenance fee. Council agreed by consent not to move forward with this idea at this time.

12. RECEIVE MONTHLY CAPITAL IMPROVEMENTS PROJECT REPORT.

Case Sledge, consulting City Engineer, presented an update on current capital improvement projects.

13. DISCUSS AND CONSIDER FUTURE AGENDA TOPICS AND REQUESTS FOR INFORMATION.

Mr. Dunaway asked for input on future items to be brought forward for discussion. Mayor Pro Tem Gonzales asked for more discussions regarding a strategic plan, a city facilities assessment, a list of possible future MDUS projects, a workshop on the street utility fee with the possibility of creating the fee by ordinance, and a discussion on the calling of an election in May, 2014 to redistribute the sales tax allocation currently being directed to the TEDC. Other topics of interest included the possibility of receiving regular reports from the boards and

commissions as well as setting up meetings with the chairs of each of these groups.

ADJOURN

With no further business; Mayor Ancira adjourned the meeting at 7:50 p.m.

ATTEST:

Jesse Ancira, Jr., Mayor

Susan Brock, City Clerk



City Council Meeting July 11, 2013 Agenda Item Transmittal

Agenda Item #: 2

Agenda Title: Consider accepting the Semi Annual Comprehensive Plan Implementation Committee Report for July 2013.

Council Action: Accept report via consent agenda

Initiating Department: Planning and Development

Staff Contact: **John Elsdon, AICP**
City Planner

1. INTRODUCTION/PURPOSE

The purpose of this item is for the City Council to consider accepting the July 2013 Comprehensive Plan Implementation Report.

2. DESCRIPTION/ JUSTIFICATION

Chapter 11 of the adopted Taylor Comprehensive Plan recommended the formation of a Comprehensive Plan Implementation Committee to review and report on the implementation status of the Plan. This Committee was officially established by City Council during January of 2007.

The Chapter 11 Actions are identified by Plan chapter, responsibility, and importance as they were ranked by the Comprehensive Plan Steering Committee, other stakeholders and the Council in late 2004.

The July 2013 Plan Status Report indicates new or added information underlined, along with deleted information. All but one Action has been addressed and 36 Actions have been fully addressed or completed. The attachment "Comprehensive Plan Actions Summary" shows the total number of Actions adopted, along with a breakout of short range, medium range, and long range Actions completed, in progress or pending for previous reports and this report.

The results of this status report will also be shared as requested with other stakeholders within the community, including the Taylor ISD, Capitol Area Rural Transportation System (CARTS), the John's Community Hospital Board, the Interagency Support Council of Eastern Williamson County, and others who are interested in the implementation of the Plan.

3. FINANCIAL/BUDGET

N/A

4. RECOMMENDATION

On June 5, 2013, the Comprehensive Plan Implementation Committee voted to recommend approval of the attached Comprehensive Plan Implementation Status report.

5. TIME LINE CONSIDERATIONS

None

6. REFERENCE FILES (Attachments)

2a. [Status Report](#) – July 2013

2b. [Actions Summary](#) – July 2013

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City of Taylor Comprehensive Plan Implementation Semi-annual Report Developed by the Comprehensive Plan Implementation Committee Accepted by Taylor City Council July 2013

Comprehensive Plan Chapter 11: Ranked by Priority Score

Priority Score: 51 Responsible Department: City Planning, County – Grants Admin.

Number 28, Chapter 5, Housing. Time Range: Short

Objective H1: Stabilize neighborhoods with sound, but aging housing through requiring and assisting in the rehabilitation of substandard housing and the demolition of dilapidated housing throughout Taylor.

Action H1.1: Work with Williamson County in the development of their 5-Year HUD Consolidated Plan for use of CDBG funds. This will help ensure that the City will get its fair share of CDBG funds for housing rehabilitation and infrastructure repairs.

Status:

Since 2008, Habitat for Humanity has built three homes on property donated to them by the City. An additional home is nearing completion as of May 2013. This is expected to be an on-going project for the next few years as individuals apply for homes within Taylor.

Recent CDBG funding includes:

- 2012 CDBG Grant funding applied for to rehabilitate Jones and Burkett Streets for \$600,000 with \$75,000 match.
- 2011 CDBG Grant received for \$275,000 with \$75,000 match for 12" Water Main Improvements at Walnut and Dolan Streets.
- In 2009 the Senior Resource Center received CDBG funds to turn the former TISD middle school into a Senior Resource Center. The funds assured the site to be handicapped accessible.

Priority Score: 49 Responsible Department: City Planning, CARTS

Number 45, Chapter 6, Transportation Time Range: Ongoing

Objective T2: Explore alternative transportation modes that could be available to the residents of the City.

Action T2.3: Work with CARTS to expand existing routes to provide service to major retail and service establishments within the City.

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Status:

CARTS and the City will continue to explore transit options for Taylor commuters

Priority Score: 48 Responsible Departments: City Planning, Engineering

Number 19, Chapter 4, Land Use. Time Range: Ongoing

Objective L3: Provide viable retail, office and industrial areas that support opportunities for economic development.

Action L3.5: Locate large commercial and industrial activities along the more accessible roadways, ones that can handle truck traffic without negatively impacting adjacent residential land uses—the Loop, appropriate portions of Business 79, FM 397 FM 973.

Status:

Commercial and industrial activities within the City include:

- JMF Trucking has plans approved for a new location in the Mustang Creek Industrial Park, and will employ about 20 persons and includes assistance with wastewater main from the City;
- Applebee's Restaurant has recently announced plans to build in Taylor begun construction at the Wal-Mart center;
- Round Rock Refuse will be locating immediately east of Wilco Recycling along Chandler Road, with plans now under review;
- Golden Chick will be relocating is under construction across from its existing location at W 2nd Street with plat approved and site and building plans under review;
- A full-service Taylor Express car wash will be locating has been approved on the east side of North Main Street across from HEB, which includes assistance with water main construction from the City with plat under review;
- Advanced Highway 79 is finishing out the spaces in front of the building for future tenants;
- Many downtown stores are now occupied due in part to a rental assistance program provided by the City;
- Bluebonnet Trails will be locating in an existing structure to be remodeled has opened at 404 Carlos Parker Boulevard NW;
- HDI Plastics has located in Taylor at 601 W 2nd Street employing about 10 persons;
- Time Warner Cable Hub Site is adding facilities at its existing location has completed its expansion at 2929 Crestview Ave.;
- Big Industrial east of the airport is expected to submit plans for a has remodeled of a portion of the existing building;
- First Assembly of God Church along NW Carlos G. Parker Boulevard began construction during mid-2012;
- Alliance Geotechnical has opened in the Mustang Creek Industrial Park (200 Mustang Cove) nearing completion;
- Ringer Windows has opened at Mustang Creek Industrial Park. close to completion;
- McCoy's Hardware, has opened on TH Johnson Boulevard. project nearing completion;

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- Main Street Commons, a 75 unit residential structure opened in May 2013 ~~project under construction;~~
- Beveridge metal buildings on E 4th Street, one building complete and one under construction;
- Clark Travel on West 2nd Street, wastewater connection cost assistance, complete 2012;
- On-Technologies Consulting started operations on Second Street; Skybeam expanded at same location in 2012;
- ~~Wilco Recycling Center along the new Chandler Road, construction complete 2011;~~
- ~~Citgo Convenience Store, corner of Carlos G. Parker Blvd. And Mallard Lane, construction complete 2011;~~
- ~~KG Industries, gun coating facility, 204 Mustang Cove, construction complete 2010;~~
- ~~Durcon Inc completed North American consolidation and expansion in Taylor in 2011;~~
- ~~Texas Prisoner Transportation Division started operations on Fourth St/US 79 in 2011;~~

Priority Score: 47 Responsible Departments: City Management, Engineering

Number 41, Chapter 6, Transportation Time range: Ongoing

Objective T1: Ensure the road system in the City provides appropriate access for residents while discouraging commercial traffic in neighborhoods.

Action T1.2: Work with the City of Taylor and develop a program that allocates city funds to repair and/or replace streets that will benefit the community as a whole.

Status:

~~The City has contracted with Sledge Engineering to assess~~ has assessed road conditions throughout the City and plans are underway to establish funding mechanisms for roadway rehabilitation and construction. ~~This project is currently underway.~~

Priority Score: 46 Responsible Departments: City Planning, Main Street, CARTS, TXDOT, And Union Pacific

Number 46, Chapter 6, Transportation Time range: Short

Objective L3: Provide viable retail, office and industrial areas that support opportunities for economic development.

Action L3.7: Coordinate with the Economic Development plan to attract specific desired uses (retail, cinema, entertainment, etc.) through targeted recruiting and incentives.

Status:

- The City Leadership annually attends the ICSC Annual conference to raise the Taylor profile and recruit retail and service industries to Taylor.

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- The Taylor Marketing Team continues to develop and implement marketing strategies to market Taylor.
- Taylor EDC continues to work with the City, businesses, and potential developers to attract new industries.
- TEDC has exchanged property with the City for economic development in the future.
- TEDC completed 2008-2011 Economic Development Plan, and the TEDC Board adopted a new Economic Development Plan for 2012-2016 in early 2012.
- Taylor partners embarked on a public relations initiative which greatly increased the positive media coverage of Taylor for programs and projects by the City, EDC, School District, Taylor Chamber of Commerce, lifestyle and special events. The partners all registered QR codes for smart phones to find local websites as well as partnering on advertisements in regional publications such as the Austin regional relocation guide. The City, EDC, and the School district published a joint annual report called Impressions.

Priority Score: 45 Responsible Department: City - Planning, Airport Board, Engineering, TxDOT

Number 52, Chapter 6, Transportation Time range: Ongoing

Objective T5: Ensure that all airport plans be incorporated into future City plans.

Action T5.2: Work with TxDOT and the Regional Airport developers on ensuring that the Taylor residents are kept informed of all decisions affecting this airport.

Status:

- Future planning efforts will continue to ensure all stakeholders are notified of airport activities including airport expansion using the internet, newspaper, and direct mailing.
- Staff is working to integrate a Global Positioning System (GPS) for airport operations in the future, along with an extension of the airport runway to 5,000 feet.

Priority Score: 45 Responsible Departments: WCCHD and Interagency Support Council

Number 101, Chapter 10, Health & Human Services Time range: Short

Objective HH1: Work with area providers to expand and promote optimum health and human services for Taylor residents.

Action HH1.4: Strive to provide better and more coordinated information to people about the availability of services in Taylor.

Status:

John's Community Hospital merged with Scott & White during 2010 to be Scott & White for the Taylor area. Scott & White maintains a web site www.sw.org for information pertaining to hospital services and other information. The Williamson County and Cities Health District has upgraded their web site www.wcchd.org to include information on public health alerts and have

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started distributing the prescription drug discount card. The Interagency Council's website www.interagencyeast.org also has links to service provider's websites and contact information and has produced a hard copy resource guide in conjunction with WBCO that is circulated around the community.

The Old Middle/High School has been renovated as the Fred Switzer Senior Citizens Center, Food Bank and Shepherds Heart, Meals on Wheels, Literacy center, School Based mental health Family Social Worker and Psychiatrist. Still needed is a service provider tracking system to ensure that clients are not receiving duplicate services from various agencies. The Senior Center was opened for services on January 22, 2009 for Meals on Wheels. Partnership agreements include:

- Interagency Support Council redesigned their web site www.interagencyeast.org, which now lists all the social services available to Taylor residents.
- 225 volunteer hours were contributed to the senior center this quarter.
- Scott and White Hospital conducted six educational classes for seniors this quarter
- Eighteen antique roses are cared for and enjoyed by the seniors.
- The AGAPE food bank, operated by Oasis Church, is open Tuesday from 8:30pm until 10pm and then again every other Friday 6:30 pm until 8ish and they receive their food from the Capital Area Food Bank of Texas
- The new Scott & White Taylor Board of Visitors is raising funds to support the mission and vision of Scott & White Hospital - Taylor. Our community continues to benefit from the complete makeover and improve emergency services.
- As part of Scott & White Healthcare, a nonprofit health care system, Scott & White Hospital - Taylor continues its founding mission of caring for our community, regardless of the ability to pay. In 2011, the hospital provided \$460,774 in charity care, which does not include many patients who received uncompensated care. Scott & White's quality, compassionate healthcare is critical to attracting new business and residents. Scott & White recently invested \$1 million in physician recruitment and equipment upgrades in Taylor. In 2013, Scott & White has committed \$3.5 million for facility upgrades, information systems, and staff salaries, all of which improve healthcare for our community.
- The Women's Center offers digital mammography and bone density screenings and the Sleep Lab offers diagnosis and treatment of a variety of sleep conditions. The hospital's Skilled Nursing facility is now staffed by a Scott & White physician who is Board Certified in Internal Medicine and Geriatric Medicine. She is assisted by a Geriatric Nurse Practitioner. The Emergency Department is now under the direction of a Board Certified Emergency Medicine Physician. Under the new leadership the ED has implemented best practice standards to improve quality and speed. In addition to the improvements at the hospital, the Scott & White Clinic has visiting specialists, which include Cardiology, Dermatology, Gastroenterology, Podiatry and a Licensed Professional Counselor giving greater access to more specialties to the community.

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- WCCHD has instituted the Patient Navigator to help individuals with insurance, medications, food services and other hospital related services.
- Taylor Area Ministerial Alliance (TAMA) will continue to house Shepherd's Heart Food Pantry at the senior center. The food pantry provides basic assistance with food, clothing, and emergency shelter. They have taken the responsibility of providing students with appropriate school clothing when The Clothes Closet Store (formerly provided students in need with clothing) closed.
- WBCO, already located in the Taylor Resource Center, will continue to provide the Senior Nutrition Program, including both congregate meals and meals-on-wheels, and the Taylor Neighborhood Center, which provides financial support to individuals and families in the area who qualify for utility and rental assistance. An average of 175 families access services at the Taylor Resource Center each week.
- The Switzer Senior Center will continue to provide recreational, social, and educational opportunities for anyone 50 and older through a CAPCOG grant administered by the Interagency.
- Scott and White Hospital will continue to support the senior center by providing the following services at least once a month: healthy living cooking class and health education class.
- Scott and White social worker will provide dementia caregivers support group monthly at the senior center. Anyone dealing with a loved one with dementia is encouraged to attend.
- CARTS will continue to provide free transportation to the center for people who are 60 and older and are registered with the WBCO Senior Nutrition Program.
- www.taylorrc.org is the new senior web site to keep residents up to date on the resources available at the Senior Center.
- The Lone Star Circle of Care Health Center at Scott & White provides services for low income residents on a sliding scale.
- Interagency Support Council with funding from CDBG funds rebuilt the sidewalk from the Senior Center to the Taylor Public Library in 2011 to meet handicap accessibility standards.
- The Interagency Support Council of East Williamson County received funding for School Based Mental Health Social workers for the school year 2012/13. The Council did re-apply for this grant in Jan. 2013.
- The Interagency Support Council of East Williamson County worked with the St. David's Foundation and Blue Bonnet Trails MHMR to bring Mental Health Services back to Taylor. Bluebonnet offers services at their new facility (404 Carlos Parker Blvd) as of May 2013.
- Healthy Minds a group of health care professionals from Taylor ISD and Bluebonnet Trails meet
- The VA walk-in operates at the Williamson County Annex in Taylor, 412 Vance Street on Wednesdays.
- Good Life Taylor held a low-cost family event in 2012, based on outdoor activities and healthy living. The event also featured a variety of informational booths on gardening

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and many other local organizations and programs such as the United Way of Williamson County, Taylor YMCA, Project LOOP, Weber Chiropractic and the Taylor Garden Club.

A one mile family 'squawk walk' started the event to get people moving and hearts pumping. The proceeds from veggie beef chili and t-shirt sales from the event were donated to the Taylor Animal Shelter.

- The Veterans Service Office provides eligible veterans, dependants and survivors, direct and general support and assistance in obtaining all benefits to which they are entitled from the U.S. Department of Veterans Affairs, State of Texas and Williamson County. Taylor Annex 412 Vance Street Office Hours Wednesdays Only 8:30 a.m. - 5:00 p.m. Closed 12:00 p.m. - 1:00 p.m.
- WCCHD Health Education team taught several classes so far in 2012. One was Be a Quitter, a 2-hour tobacco cessation class that was taught on July 11th. Also taught was a series' from October 1st through October 17th. This series consisted of Cardio 4H (Have a Healthy Heart Habit)/Stress Management, and Beat the Pounds
- A G3 (Get fit, Get Moving, Get Healthy) class was taught at the Taylor Brethren Church last September-November 2011. This class is for children and their parents.
- ~~Future plans for Taylor include:~~
 - ~~Healthy for the Holidays class—December 11th, 5:30-6:30pm at the WCCHD office.~~
 - ~~Diabetes Self-Management class—Dates/Times/Location TBD~~

Priority Score: 45 Responsible Department: TISD, Interagency, TCAT, WCCHD, City - Planning

Number 116, Chapter 10, Health & Human Services

Time range: Ongoing

Objective HH3: Formalized planning relationship among the school district, Temple Community College, Williamson County, neighborhoods, and the City of Taylor by encouraging strong and continuing community and school relationships beyond the walls of facilities by utilizing the neighborhood as an educational resource.

Action HH3.8: Support the coordination of school programs and Temple Community College.

Status:

- The School Based Mental Health Program funded by the St. David's Community Health Foundation and United Way of Williamson County set up a counseling office at the Switzer Senior Center to assist families with children in Taylor, Granger, Thrall, Bartlett and Coupland ISD's to deal with issues effecting their achievement in school.
- The old Taylor High School on North Main Street is being remodeled to house fourth and fifth graders as well as the TISD Administration Offices.
- A community task force has also been established to address issues concerning school achievement of African American Students. A K-8 section for social and educational purposes may be added.

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- Taylor ISD also created a Career and Technical Education advisory board to connect industry with secondary-to-higher education; and the new high school includes a welding program, automotive repair technologies and culinary arts programs.
- Free Tax assistance will be offered at the City of Taylor Administrative offices and the Public Library, and is supported by United Way of Williamson County.
- Interagency Support Council of East Williamson County previously participated in the TISD community wide planning process by presenting ideas on how to involve the community and parents in the schools.
- The WilCo Wellness Alliance is a local health and wellness coalition focusing on decreasing tobacco use and exposure, increasing nutrition and physical activity, and preventing and managing chronic diseases across Williamson County. The WilCo Wellness Alliance was awarded a grant thru Transforming Texas. In East Williamson County we are currently planning initiatives targeting all of the focus areas. Our newest project includes placing trail signs on walking trails around Taylor to encourage residents to increase their daily activity level.

Priority Score: 44 Responsible Departments: City Planning
Number 1, Chapter 4, Land Use Time range: Short

Objective L1: Provide suitable areas for a variety of residential types and densities.

Action L1.1: Identify appropriate residential development areas on Future Land Use Plan (site suitability, access, utilities)

Status:

~~The Planning and Zoning Commission has undertaken a study to review Future Land Use designations along major arterials within the City. This study should be complete during the Fall of 2012.~~

The Future Land Use Plan indicates appropriate areas for residential development. The Downtown District was updated by the Planning and Zoning Commission during September 2011, to move it north away from West Second Street. Recent annexations have added land for residential, commercial and industrial development. Future land use designations and zoning are added to the areas that have been annexed on an annual basis for appropriate development and use of land.

Priority Score: 44 Responsible Departments: WCCHD, Interagency, United Way, Faith Based Community

Number 121, Chapter 10, Health & Human Services Time range: Short

Objective HH4: Work with local organizations and providers to support services to meet food, clothing, shelter, transportation and other basic material needs.

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Action HH4.1: Develop new and utilize existing partnerships between public agencies and private citizens to coordinate efforts that support food pantries and nutrition programs, especially for infants, children and the elderly, and other vulnerable populations.

Status:

- The food pantry is open 3 days (not five) right now we are open Tuesday, Thursday and Saturday at Shepherd's Heart.
- WCCHD's Women, Infants and Children (WIC) program, the Taylor (and Georgetown) garden program including field trips for some elementary students, and the From the Garden to the Table Healthy Cooking classes are specifically responsive to this item.
- Planning efforts are in place with the Taylor Ministerial Alliance, Corporation for National and Community Service and Interagency Support Council to support efforts for the Senior Center in Taylor as well as a one stop social services center.
- WCCHD may assist in planting new gardens on Doak Street in the vicinity of the new Habitat homes. The G-3 program is no longer available due to funding loss. Referrals are being made to MEND (YMCA) program.
- Keep Taylor Beautiful, Taylor Garden Club and Interagency Support Council have 51 Community Garden beds ready for families to plant fresh vegetables.
- Interagency Support Council of East Williamson County continues to support the two community gardens and had all 48 plots adopted this quarter. Over 345 lbs of food produce was donated to the residents of Taylor through the senior center this quarter.
- Taylor ISD and Sodexo will provide Summer Food Services program at Murphy Park, Northside Elementary, THS, TMS, and Mount Calvary Church. The program provides free meals to school age children.

Priority Score: 43 Responsible Departments: City Management, Engineering/Planning

Number 29, Chapter 5, Housing Time range: Ongoing

Objective H1: Stabilize neighborhoods with sound, but aging housing through requiring and assisting in the rehabilitation of substandard housing and the demolition of dilapidated housing throughout Taylor.

Action H1.2: Invest in road repairs in the declining residential areas, particularly Subareas C, D and G. The poor street conditions lead to lowered property values, poor navigation, poor drainage and general decay of the neighborhoods.

Status:

- Reconstruction of West Second Street ~~is currently underway, and should be~~ was completed by the end of 2012 during May 2013. The project includes utility relocation and drainage facilities.
- Sloan Street ~~is being~~ has been reconstructed from West 2nd Street to Lake Drive;
- The City has established a Municipal Drainage Utility District citywide, and has dedicated funds for additional drainage improvements within problem areas for drainage.

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Priority Score: 43 Responsible Departments: City Planning

Number 39, Chapter 5, Housing Time range: Short

Objective H5: Encourage the development of new larger homes and high-end retirement developments in Taylor and its ETJ.

Action H5.2: Provide appropriate land use designations, zoning if applicable, and development guidelines for new subdivisions of larger homes for professionals commuting to surrounding employment centers.

Status:

Changes were made in the zoning text for larger residential lot sizes. The City adopted Economic Development Incentives Guidelines which includes incentives for "upper-end" housing. ~~However, the recent downturn in the economy has put this project on hold.~~

Priority Score: 43 Responsible Departments: City Planning, Engineering

Number 40, Chapter 6, Transportation Time range: Ongoing

Objective T1: Ensure the road system in the City provides appropriate access for residents while discouraging commercial traffic in neighborhoods.

Action T1.1: Use the Thoroughfare Plan to determine where arterial and collector streets are needed in new residential and commercial developments.

Status:

- The Thoroughfare Plan is consulted each time a new development is proposed to determine need for right of way for arterial and collector streets.
- The City recently agreed to assume maintenance of West Second Street from TxDOT which will happen once the project is completed to allow for Williamson County bond funds to be expended for roadway and drainage system improvements.
- The City ~~has~~ agreed to provide funding for the relocation of utilities.
- A section of the Chandler Boulevard extension was completed during late 2010, and is part of the future loop system. The remainder of this roadway ~~is expected to be~~ was completed during late 2012.
- A Williamson County-wide bond election is being considered for Nov. 2013. A recommendation has gone from the Bond Advisory Committee to the Commissioners Court recommending \$300M for roads and \$45M for parks. Taylor's share will include a widening of CR 101 and CR 366 to connect Chandler Rd. to Hwy 79. In addition the Taylor Events Center will be redone and expanded with about \$10M.

Priority Score: 43 Responsible Departments: City Planning

Number 53, Chapter 6, Transportation Time range: Ongoing

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Objective T5: Ensure that all airport plans be incorporated into future City plans.

Action T5.3: Review all development in and around the flight zones in accordance with the Future Land use plan to ensure the protection of any future neighborhoods as well as preserving the functional ability of the airport.

Status:

- Additional land for the runway protection zone (RPZ) to accommodate the runway extension to 5,000 feet was received from the TEDC.
- The City has also completed the Business Park Zoning District and has completed the Airport Height Hazard Protection ordinance.
- The existing tie downs for airplanes are being monitored more closely with a daily report and log.
- Work was completed for the removal of airport penetrations (utilities, trees, etc.) with a \$250,000 grant from TXDOT Aviation and \$25,000 from TEDC.

Priority Score: 43 Responsible Department: TISD, Interagency, TCAT, WCCHD, City - Planning

Number 117, Chapter 10, Health and Human Services Time range: Ongoing

Objective HH3: Formalized planning relationship among the school district, Temple College, Williamson County, neighborhoods, and the City of Taylor by encouraging strong and continuing community and school relationships beyond the walls of facilities by utilizing the neighborhood as an educational resource.

Action HH3.9: Work with Temple Community College to promote life-long learning opportunities for residents of Taylor and encourage the broadest possible use of the library, and other existing facilities throughout the city, focusing on developing these resources in neighborhoods.

Status:

- The Taylor Public Library was awarded an Impact Grant from the Texas State Library and Archives Commission for \$10,000, to be used to hold adult computer education classes in the Library meeting room.
- Interagency assisted in having Helios the volunteer computer organization relocate to Taylor and provide computers to low income households and free classes on the use of a computer. Helios has reorganized as REGLUE. The HELIOS brand will be used as the educational component of REGLUE.
- TISD will invite Temple College to participate in Community Education Courses for local residents in the Taylor area.
- Biomedical equipment has been obtained.
- The new High School has facilities for welding classes, culinary arts, and automotive repair technology.

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- Temple College and Texas Manufacturing Assistance Center have provided two lean manufacturing training courses for free to local industries in Taylor in partnership with the Central Texas Workforce Solutions Board.
- Interagency Support Council of East Williamson County previously participated in the TISD community wide planning process by presenting ideas on how to involve the community and parents in the schools.

Priority Score: 43 Responsible Department: TISD, Interagency, TCAT, WCCHD, City Planning

Number 118, Chapter 10, Health and Human Services Time range: Short

Objective HH3: Formalized planning relationship among the school district, Temple Community College, Williamson County, neighborhoods, and the City of Taylor by encouraging strong and continuing community and school relationships beyond the walls of facilities by utilizing the neighborhood as an educational resource.

Action HH3.10: Work with Taylor ISD and Temple Community College, community organizations, state of Texas and Williamson County to develop strong linkages between education and training programs and employability development resources.

Status:

- The Texas Workforce Commission (a state agency) has opportunities to help unemployed persons and accepts unemployment applications for persons affected by the current economic conditions.
- Temple College GED classes meet Aug. 20th 2013 at 9am for a mandatory orientation. Williamson County Literacy Center also has classes at the old Middle School, T/Th 3-5.
- Temple College partnered with Texas Manufacturing Assistance Center and Central Texas Workforce Solutions to provide Lean Manufacturing Training for free to Taylor businesses.
- Interagency Support Council of East Williamson County recruited five new Board members for EWCHEC in 2012, which assures good representation for East Williamson County and Taylor.
- In the spring of 2012 the TISD conducted a community-wide strategic planning process which was the prelude to the full-blown Strategic Plan being brought forth by the school board later.

Priority Score: 42 Responsible Department: City Planning, County, TISD

Number 4, Chapter 4, Land Use Time range: Short

Objective L1: Provide suitable areas for a variety of residential types and densities.

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Action L1.4: Coordinate, through interlocal agreement, partnerships and action committees, City, County and school district facilities planning and land acquisition that will create joint usage for school and park facilities.

Status:

The City will construct a construction of a community recreation center has been put on hold at this time to be located at the Taylor Regional Park and Sports Complex.

Priority Score: 42 Responsible Departments: City Code Enforcement

Number 12, Chapter 4, Land Use Time range: Ongoing

Objective L1: Provide suitable areas for a variety of residential types and densities.

Action L1.12: Support the continued rehabilitation and restoration of the Downtown Neighborhoods through appropriate, but considerate code enforcement.

Status:

- During 2011 the City adopted the 2009 International Building Code (effective October 1 2011) to stay current with other cities in Texas.
- The Downtown Neighborhood Zoning District was established in 2008 to encourage walkability, preservation of neighborhoods, and the development of small businesses in the new area, and updated during 2012.
- The City has increased Code Enforcement during the past two years, including enforcement of demolition requirements for dilapidated structures.
- The City is also developing a new Downtown Plan, and encouraging stakeholders to be involved in this process to help determine the best uses for this area.
- The plaza at Heritage Park has recently added new sidewalks, benches and grass and an irrigation system.
- The Taylor EDC adopted incentives (façade grants) for historic property redevelopment, targeted for primary employers as a way to augment the Main Street program and assist local industry. The TEDC has approved two façade grants to historic property owners who support primary businesses in downtown Taylor.
- A new West Second Street Corridor Plan has been developed and the Plan will assist with code enforcement and beautification of the corridor.

Priority Score: 42 Responsible Departments: City Code Enforcement

Number 103, Chapter 10, Health and Human Services Time range: Short

Objective HH1: Work with area providers to expand and promote optimum health and human services for Taylor residents.

Action HH1.6: Create with Economic Development and local health care providers a recruitment strategy to secure more CHIP, Medicaid and Medical Specialty providers.

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Status:

- Scott & White continually recruits for physicians on an ongoing basis for CHIP, Medicaid and Medical Specialty providers. However, labor and delivery and mental health services are still lacking in the Taylor area.
- A Board Certified Psychiatrist has been contracted to see students in Taylor when they are referred by the school based mental health staff.
- The CHESS division (Community Health Education Social Services) has a Case Manager working out of the Taylor WCCHD office on a full time basis. Clients can call or walk in to schedule an appointment.
- The Case Manager can assist clients with a wide range of health issues/referrals. See number 101 above.

Priority Score: 42 Responsible Department: TISD, Interagency, TCAT, WCCHD, City - Planning

Number 112, Chapter 10, Health and Human Services

Time range: Ongoing

Objective HH3: Formalize planning relationship among the school district, Temple Community College, Williamson County, neighborhoods, and the City of Taylor by encouraging strong and continuing community and school relationships beyond the walls of facilities by utilizing the neighborhood as an educational resource.

Action HH3.5: Coordination of the City capital improvement and functional plans with school district plans.

Status:

- Interagency continues to administer a St. David's Foundation grant and a United Way of Williamson County grant that provide School Based Mental Health services in five rural East Williamson County school districts with social workers and professional help when needed.
- Interagency worked with Bluebonnet Trails MHMR to bring Outreach Screening Assessment and Referral (OSAR) to Taylor for students as well as adults.
- TISD works with the City and the City Engineer to coordinate future planning and development issues with the city. The use of East 5th Street between North Main Street and Porter Street by closure of this street was approved by the City from the Fall of 2010 for a maximum of 2 years (and continued for an additional 2 years through 2014) to allow four portable buildings for the Legacy Early College High School Program, with an additional portable added east of the old City Hall/Fire Station during August 2012.
- Educational classes began in January 2011 for people over 50 at the Switzer Senior Center.
- Interagency Support Council continues to provide professional training for area child development professionals on state required topics of Shaken Baby Syndrome, Early Brain Development and SIDS.
- Interagency Support Council of East Williamson County continues to support the educational classes at the Switzer Senior Center and this quarter in partnership with Scott

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and White Hospital and the Taylor Public Library. Classes are regularly offered for improving senior's health including exercise classes, wii games, cooking and medical topics.

Priority Score: 41 Responsible Departments: City Planning

Number 3, Chapter 4, Land Use Time range: Medium

Objective L1: Provide suitable areas for a variety of residential types and densities.

Action L1.3: Establish open space easements, linear parks, and trails in and around residential areas for accessibility and “walkability.” Require dedication or provision of land in the Subdivision Regulations platting process.

Status:

- The Subdivision Ordinance requires the dedication of land or the payment of fees in lieu of park land dedication. This provision will need to be reviewed to ensure adequate land is included or the fee is reflective of the recreational needs in our community.
- Bike and Pedestrian Trails were extended through Murphy Park and Bull Branch Park via State Park grants and city contributions. The City recently was awarded two grants for pedestrian and bicycle trailways along the Bull Branch Park and along the west side of Main Street.
- The new Downtown Neighborhood Zoning District also promotes walkability.
- An additional park grant was approved during early 2010 which will help walkability in the community, and during 2011 for a park with a trail, fishing areas, a climbing structure and a playscape.

Priority Score: 41 Responsible Departments: City Planning, Engineering

Number 44, Chapter 6, Transportation Time range: Long

Objective T2: Explore alternative transportation modes that could be available to the residents of the City.

Action T2.2: Provide trails, sidewalks and crosswalks on all arterial and collector streets.

Status:

- A Transportation Enhancement Program cost reimbursement of 80% /20% local match cash totaling \$1M for a ~~five ten~~ foot pedestrian/bicycle trail (sidewalk) along the west side of North and South Main Street ~~and Lake Street will be applied for during November 2012. was approved during May of 2013, along with a grant for pedestrian/bike trails along Bull Branch Park.~~
- A Safe Routes to School grant of \$750,000 was received by the City for sidewalks connecting schools on the north side of the City, and this project is now complete. The Trail System continues to be developed as noted above.

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Priority Score: 41 Responsible Department: City Planning, Airport Board, Engineering

Number 51, Chapter 6, Transportation

Time range: Ongoing

Objective T5: Ensure that all airport plans be incorporated into future City plans.

Action T5.1: Work with the area residents and develop a plan that works with the existing local airport to ensure that the airport does not hinder growth in this part of the City.

Status:

- The City adopted the Airport Height Hazard Zoning ~~during early 2008~~ to ensure compatibility with neighboring areas as well as updated FAA regulations.
- The City has developed the Business Park Zoning District as shown on the Future Land Use Plan that will include land use limitations around and near the airport.
- Area residents will be notified of any actions to be taken regarding the Airport in order to receive input for this facility in the future

Priority Score: 41 Responsible Departments: WCCHD, Interagency, United Way, Faith Based Community, City Planning/TISD

Number 122, Chapter 10, Health and Human Services

Time range: Short

Objective HH4: Work with local organizations and providers to support services to meet food, clothing, shelter, transportation and other basic material needs.

Action HH4.2: Develop new and utilize existing partnerships to explore the use of existing facilities and co-location of services, including joint use of schools, the City of Taylor and Williamson County facilities, to make services more available in the neighborhoods.

Status:

- In partnership with the Taylor ISD, the United Seniors of Taylor, the Interagency Council, WBCO, and other social service providers, the old Taylor Middle/High School continues to be used for the Taylor Senior Center and Resource Center. The Center supports the Meals on Wheels program, Shepherd's Heart Food Pantry, Senior Activities, the Neighborhood Center, and school based mental health professionals.
- CAPCOG funding allowed for a senior coordinator to be at the resource center part time.
- Shepherd's Heart food pantry and TISD partner on a back pack program.
- Shepherd's Heart food pantry provides an annual turkey or chicken give away during the holidays. Interagency Support Council promotes literacy during this and other events.
- School supply giveaways are organized at churches, schools and in city parks annually.
- Taylor Salvation Army at First Presbyterian Church assists families in crisis as well as helps with utilities. Qualification criteria apply.

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Priority Score: 40 Responsible Department: TISD, Interagency, TCAT, WCCHD, City - Planning

Number 17, Chapter 4, Land Use Time range: Ongoing

Objective L3: Provide viable retail, office and industrial areas that support opportunities for economic development.

Action L3.3: Upgrade utilities and roadways, as needed, in areas designated for commercial, business park and industrial uses on the Future Land Use Plan to attract new and expanding development.

Status:

- ~~During the past year~~ The City has implemented the upper pressure plane by adding and improving water towers to increase water pressure in the upper plane areas of the City.
- Taylor continues to participate in funding the upsizing of water and wastewater installations associated with new development.
- The city has participated with developers along US 79, Carlos G. Parker Boulevard and the Mustang Creek Industrial Park for installation and upsizing of water and wastewater lines.
- The City participated in the installation of the wastewater line up the east side of US 95.
- City of Taylor has extended water and wastewater lines to the new Taylor High School, opening up another development corridor in Southwest Taylor.
- Wastewater was continued north under Carlos G. Parker Boulevard to supply the First Assembly of God Church with this service, and will then be available to lands to the east and west of their development site.
- Clark Travel has also been assisted by the City with sewer extension to their facility.
- Taylor EDC is supporting an ISO rating study with the Taylor Fire Department which will in turn assist local residents and businesses to lower their insurance premiums as the city gains a more favorable ISO rating, as a major result of adopting the 2009 International Building Code.

Priority Score: 40 Responsible Department: City Planning, Taylor Housing Authority

Number 30, Chapter 5, Housing Time range: Short

Objective H1: Stabilize neighborhoods with sound, but aging housing through requiring and assisting in the rehabilitation of substandard housing and the demolition of dilapidated housing throughout Taylor.

Action H1.3: Work to assist non-profits in acquiring a state CHDO (Community Housing Development Organization) status and apply for state HOME funds for new low-income housing and housing rehabilitation.

Status:

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- During September 2012 the City received a grant from the TDHCA who administers the Amy Young Barrier Removal Program for persons with disabilities, whose household income does not exceed 80% of the Area Median Family Income (AMFI). The grants provide modifications necessary for accessibility and the elimination of substandard or hazardous conditions within the homes to be assisted. The grant total is for 5 homes and the total is not to exceed \$18,200 for each home.
- The City began accepting applications for the Home Grant Program under the State's new reservation system which reserves money once a jurisdiction identifies homes, during February 2012 to build new homes for low income residents with existing homes that needed to be demolished in Taylor. Four new homes are expected to be approved and built, within the next 12 months.
- 5 new homes were constructed during 2010-2011, and 7 new homes were built during 2008-2010. An additional 2 homes will be constructed during 2013 under the HOME Program.
- Habitat for Humanity has partnered with the City and has built new homes in Taylor. ~~As of March 2011, three~~ Four homes were built on South Doak Street, with plans to build more homes on South Doak and throughout the City in conjunction with the City's "214" program, and other homes are planned for construction.
- City Council approved the creation of a CDC (Community Development Corporation)

Priority Score: 40 Responsible Department: City Planning, Taylor Housing Authority

Number 32, Chapter 5, Housing Time range: Short

Objective H1: Stabilize neighborhoods with sound, but aging housing through requiring and assisting in the rehabilitation of substandard housing and the demolition of dilapidated housing throughout Taylor.

Action H1.5: Seek partnerships with public and private entities to eliminate dilapidated housing.

Status:

Through the City's "214" program, the code enforcement staff is working to identify dilapidated housing through out the community. 17 structures were demolished during 2008, 15 were demolished during 2009, and 2 during 2010, and 12 have been approved for demolition during 2011, with 5 demolished during 2012, for a four year total of 51 dilapidated homes demolished. Five are expected to be demolished during 2013. The plan is to clear the lots and foreclose on the properties. Once the City has ownership, affordable homes or new homes can be built on these lots. The lots that have been cleared are going through the delinquent tax sale process. A private sector partner is currently Habitat for Humanity who has built three homes on city donated land located on Doak Street.

Priority Score: 40 Responsible Departments: Interagency, WCCHD, City Planning

Number 127, Chapter 10, Health and Human Services Time range: Ongoing

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Objective HH6: Work with local organizations to celebrate diversity through community activities and events that recognize different groups

Action HH6.1: Improve access to City and community services and to remove obstacles that keep people from receiving the services they need.

Status:

- As of March 26, 2012, Quick Response (QR) codes have been created for City of Taylor, Taylor Chamber of Commerce, Taylor ISD and Taylor EDC. S&W – Taylor already had a QR Code created. Used with smart phone apps, QR Codes can provide easy access to appropriate web sites or other information. Discussion is underway for best approach for use - may include displaying at Taylor Regional Park, business doorways, business cards, advertising materials, etc.
- The City has contracted with Bureau Veritas for plan review and building inspections to help facilitate these services.
- Scott & White provides access to all persons within the community that need medical care. The Ministerial Alliance and Shepherds Heart Food Alliance and Interagency will encourage other social service providers to join them at the Senior Resource Center as a one-stop shop for folks in need of social services. Educational classes will begin in January 2011 for people over 50 at the Taylor Resource Center.
- Interagency worked with CARTS to assure those folks needing assistance to the senior center were able to get on the CARTS nutrition route bus.
- Interagency supports the Taylor ISD Coats for Kids program annually, and ensures that each child chooses a book to take home and keep. Over 400 coats and books were distributed.
- Scott and White Hospital in Taylor sponsors a healthy eating class at the Senior Resource Center monthly. They also offered special topic classes monthly.
- Interagency Support Council partnered with Bluebonnet Trails mental health professionals to host and provide Mental Health First Aid training in Taylor.

Priority Score: 40 Responsible Department: Interagency, WCCHD, City Planning, Faith Based Community

Number 128, Chapter 10, Health and Human Services

Time range: Ongoing

Objective HH6: Work with local organizations to celebrate diversity through community activities and events that recognize different groups

Action HH6.2: Enhance opportunities for people with low income, disabilities, limited English-speaking ability, and other barriers to service to participate fully in community life and to access assistance.

Status:

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- Scott & White provides for equal access for low-income, disabled and persons with other barriers. The Ministerial Alliance and Shepherds Heart Food Alliance and Interagency will encourage other social service providers to join them at the Senior Resource Center and to have a one stop shop for folks in need of social services.
- Classes began in January 2011 for people over 50 at the Taylor Resource Center.
- Scott and White Hospital in Taylor sponsor healthy eating classes at the Senior Resource Center. They also offered monthly special topic classes.
- All activities at the Resource Center are open to all age 50 and older.
- Interagency Support Council's senior advocate continues to have activities at the senior center in Spanish as well as English, such as Pokeno and Mexican Bingo.
- Taylor ISD English as a Second Language (ESL) classes are available at Our Lady of Guadalupe Catholic Church (Wed. mornings), Oasis Church (Wed. afternoons), and Taylor High School (Monday evenings). These classes are offered during the school year.

Priority Score: 39 Responsible Departments: City Planning, Engineering

Number 8, Chapter 4, Land Use Time range: Medium

Objective L1: Provide suitable areas for a variety of residential types and densities.

Action L1.8: Ensure that standards for streets, sidewalks, neighborhood parks, street lighting, drainage, and traffic control are maintained through design standard requirements.

Status:

Design standards are contained within the subdivision, zoning, sign and landscaping ordinances. Additional standards have been developed for the Business Park and will also be developed for the Gateway Commercial Districts. The City Engineer has published an Engineering Manual to address and standardize these design standards for all public works projects.

Priority Score: 39 Responsible Department: City Planning, Engineering, TxDOT

Number 8, Chapter 4, Land Use Time range: Medium

Objective T4: Ensure adequate access and circulation within the City.

Action T4.1: Complete continuous loop around Taylor to create additional access in and around the City.

The Thoroughfare Plan will be modified to show the new Chandler Road westward as a potential link for the new loop system in the future. The final portion of Chandler Road ~~is under construction and should be~~ was completed later this year during 2012. A section of Chandler Road was completed during the Fall of 2010.

Priority Score: 39 Responsible Department: TISD, Interagency, TCAT, WCCHD, City Planning

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Number 113, Chapter 10, Health and Human Services

Time range: Medium

Objective HH3: Formalized planning relationship among the school district, Temple Community College, Williamson County, neighborhoods, and the City of Taylor by encouraging strong and continuing community and school relationships beyond the walls of facilities by utilizing the neighborhood as an educational resource.

Action HH3.5: Develop a cooperative school sidewalk program to enhance the safety, appearance, and access for neighborhood residents.

Status:

- With the help of WCCHD, Taylor ISD, and other agencies, the City received a Safe Routes to School grant that has provided sidewalks throughout the northern portion of the city that lead to schools. The City also revised its sidewalk policies to encourage the placement and preservation of sidewalks for new and existing neighborhoods, with a fee-in-lieu available to apply for instead of installing sidewalks on certain projects.

Priority Score: 39 Responsible Department: TISD, Interagency, TCAT, WCCHD, City - Planning

Number 114, Chapter 10, Health and Human Services

Time range: Medium

Objective HH3: Formalized planning relationship among the school district, Temple Community College, Williamson County, neighborhoods, and the City of Taylor by encouraging strong and continuing community and school relationships beyond the walls of facilities by utilizing the neighborhood as an educational resource.

Action HH3.6: Develop recommendations for neighborhood plans when developing school sidewalk programs.

Status:

No formal planning relationship exists, but TISD and other agencies are willing to partner in the future for grant applications for school sidewalk programs or other mutually beneficial programs or services. The Safe Routes to School Grant was awarded to the City, and sidewalks have been constructed.

Priority Score: 39 Responsible Department: WCCHD, Interagency, Faith based Community, City - Police, Planning

Number 124, Chapter 10, Health and Human Services

Time range: Medium

Objective HH5: Work with local organizations and providers to help individuals, families, and neighborhoods address their safety concerns.

Action HH5.1: Coordinate efforts that enhance strong family relationships and healthy child development and work in partnership with the county and state and community agencies to

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prevent violence and injury, in areas such as child abuse, sexual assault, domestic violence, and violence associated with substance abuse.

Status:

- Scott and White provide screening services for domestic violence and works closely with local law enforcement on this issue.
- WCCHD staff is required to take child abuse reporting/training every year. WCCHD staff do report suspected child abuse.
- WCCHD staff provide information and resources to adult clients who are (suspected to have been) victims of domestic violence.
- The Executive Director of the Interagency Support Council Staff has received her certification as a registered master trainer in the areas of Shaken Baby Syndrome, Early Brain Development, SIDS, Food Allergies and Guidance.
- All child care facilities in Taylor have received this training for their staff. The Interagency Support Council child development staff and the TISD staff finalized the 40 asset survey and established a community steering committee to work on developing 40 assets for all our children.
- Interagency works closely with WBCO head start and early start. Recent projects include the purchase of a Shaken Baby doll for community use and the printing of a shaken baby prevention booklet in Spanish and English.
- The Interagency Support Council with support from the St. David's Community Foundation and United Way of Williamson County provides School Based Mental Health Services to TISD students.
- A healthy minds group meets monthly to ensure organizations are working together and not duplicating services to better provide for our community (Interagency, Intervention Services, Hope Alliance, Bluebonnet Trails, WilCo Juvenile Justice, Taylor ISD, etc.)
- The CHEAD department of WCCHD offers a Safe at Home program, which is a child injury prevention program that teaches parents and guardians of children 0-4 how to reduce their child's injury risks related to cars, choking, burns, drowning, falls, and poisoning. The parent completes a short computer survey, and then receives information on their risk from their Doctor. This is offered at the Taylor Health Center. DSHS has put this program on hold. Data is still being collected, but no actions are taking place at this time.

The Taylor Police Department conducts several events and programs in an attempt to reach out to our youth. Besides providing a fulltime police officer to interact with students at our high school and other schools, the Department offers:

- National Night Out -Neighborhood block parties with gifts and prizes especially geared towards fostering officer interaction with youth. The 2012 National Night Out campaign involved citizens, law enforcement agencies, civic groups, businesses, neighborhood organizations and local officials from over 15,704 communities from all 50 states, U.S. territories, Canadian cities and military bases worldwide. In all, more than 37.5 million people participated in NNO 2012, with the City of Taylor receiving national recognition and ranking 30th nationwide in the 15,000-49,999 population category. The 2012

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National Night Out was held on Tuesday October 2, 2012. The NNO date for 2013 will be Tuesday October 3.

- Christmas with a Cop - Select youth from our community are nominated and chosen to participate in the Taylor Police Department "Christmas with a Cop" program. Children are each assigned an officer that will accompany them throughout the event. The children are taken to Wal-Mart and allowed to spend a pre-determined amount on presents for either themselves or their families. After shopping is completed the children and officers return to the KC Hall and wrap presents, play games and eat pizza.
- Explorers - The Taylor Police Department is a sponsoring agency of a Boy Scouts of America chartered local Explorer Scout Program where teens learn about law enforcement practices and procedures, perform community service and assist in community events.
- Citizen's Police Academy – The Taylor Police Department hosts a 27 week Citizens' Police Academy where students enjoy a wide range of informational classes and speakers. Topics range from Local Government 101 to Crime Scene Investigations and everything in between.
- Since graduating from the Taylor Police Department Citizens' Police Academy, students have begun a Citizens' Police Academy Alumni Association and have meetings monthly. The alumni members assist the Taylor Police Department with National Night Out, Christmas with a Cop and various other events held throughout the year.

Priority Score: 38 Responsible Department: WCCHD, Interagency, Faith based Community, City Planning, Police

Number 6, Chapter 4, Land Use Time range: Medium

Objective L1: Provide suitable areas for a variety of residential types and densities.

Action L1.6 Establish new criteria for street and lot layout to achieve desirable neighborhoods that are pedestrian and park oriented.

Status:

The Downtown Neighborhood District was adopted to address, among other things, pedestrian access through the neighborhood. Bike and pedestrian pathways are being developed to help connect residential areas. The new Engineering Manual also addresses these design concerns, The city adopted the new "Park" zoning district which will help identify what uses will be allowed in this District.

The reconstruction of West 2nd Street may include new trees if funding is available, as well as curb reconstruction and sidewalks for pedestrian-friendly improvements.

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CDBG funds were issued to the United Seniors of Taylor for completion of a sidewalk from the Senior Center to the Taylor Public Library during February, 2011.

Priority Score: 38 Responsible Department: City Planning, Engineering

Number 7, Chapter 4, Land Use Time range: Medium

Objective L1: Provide suitable areas for a variety of residential types and densities.

Action L1.7: Extend existing trail systems to create linear park linkages through new developments. Require inclusion of trails in the platting process through design and park dedication.

Status:

- The City has completed a new playscape area at the Regional Park through a Texas Park and Wildlife grant of \$75,000.
- Requiring trails in the platting process has not yet been addressed in the ordinance. Parkland dedication (5% of total development not in the floodplain) is required with residential development of 20 acres or more.
- The City extended trails through Bull Branch Park, Murphy Park, and Robinson Park with local and State grant funds, and continues to purchase land that may be used for the extension of the park trails within the City.
- Robinson Park Pavilion and other park improvements were installed during 2010.

Priority Score: 38 Responsible Department: City Planning, TEDC

Number 23, Chapter 4, Land Use Time range: Medium

Objective L3: Provide viable retail, office and industrial areas that support opportunities for economic development.

Action L3.9: Review and monitor zoning and other development ordinances to achieve appropriate regulations and district designations to keep commercial areas viable, encourage redevelopment, and ensure quality new development.

Status:

The city adopted the "Institutional" Zoning District to comply with the Institutional designations shown on the existing Future Land Use Plan. Several Institutional uses have recently been rezoned to this new designation. The "Downtown Neighborhood" zoning District was also adopted to encourage walkability and mixed-use activities, and one property owner has rezoned to DN to install an industrial home on their site. Another district that still needs to be adopted is the Gateway District, which will adopt standards for uses at the main gateway entrances to the city.

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Priority Score: 39 Responsible Department: WCCHD, Interagency, Faith based Community, City - Police, Planning

Number 124, Chapter 10, Health and Human Services Time range: Medium

Objective T3: Create a “front door” entry and identity for the City.

Action T3.2: Require that major entry roads reflect the character of Taylor with landscaping, additional setbacks, preservation of existing trees and planting of additional trees.

Status:

These regulations are not yet included in the City's codes. TxDOT completed landscaping work within their right of way in the city's entry areas on US 79 and US 95. Code enforcement efforts have been enhanced on the major thoroughfares. Additional landscaping policies are pending for the "Gateway Zoning" district. Taylor EDC president offered to assist the city in establishing a public-private Gateway Improvement District for beautification of the major gateways into Taylor, starting first with second street revitalization and SH-95.

Priority Score: 38 Responsible Department: City Planning, Engineering

Number 6, Chapter 4, Land Use Time range: Medium

Objective T4: Ensure adequate access and circulation within the City.

Action T4.2: Improve appearance and function of streets so that they are similar to Lake Drive through landscaping and sidewalks to increase “walkability” and access.

Status:

Sidewalks are required for all newly platted residential and commercial areas to increase walkability and access. West 2nd Street received funding for renovation and rehabilitation and will address, to some extent, these aesthetic issues. Newly platted areas will also be required to install new sidewalks or request to pay a “fee-in-lieu” when construction occurs on the property. A new park has been awarded a grant for playscape items during 2012, and a trailway will be included within this new park which will encourage walkability. The City has assessed pavement condition on all City streets as noted above.

Priority Score: 38 Responsible Department: WCCHD, Interagency, United Way, Faith Based Community, City Planning

Number 123, Chapter 10, Health and Human Services Time range: Medium

Objective HH4: Work with local organizations and providers to support services to meet food, clothing, shelter, transportation and other basic material needs.

DRAFT

Action HH4.3: Develop new and utilize existing partnerships to encourage effective, efficient community-based and community-delivered services using a combination of public, private, community and personal resources.

Status:

- Interagency Council works with Shepherd's Heart on extending the opportunity for needy citizens to obtain emergency food and clothing.
- Interagency support council will be working with STARRY on "SAFE PLACES" (a home or business identified as a safe place for children to go into if feeling threatened) in Taylor.
- The development of the Senior Resource Center where Meals on Wheels, Utilities Assistance and Food will be available to people in need is enhancing the quality of life in Taylor.
- A Homework Help program (with computers donated by REGLUE/helios) has been established at Oasis Church.
- Taylor ISD in association with Sodexo foods delivers food to economically stressed families. Food is delivered every other Friday and is available to any family with children attending Taylor schools.
- The City makes available the City Hall Auditorium to provide lunch to the Legacy Early College High School Program, as well as the use of East 5th Street between North Main Street and Porter Street for four portable buildings for this program. The City Hall is still in use for this lunch program during 2012-2013.
- The WILCO Wellness Alliance for East Williamson County was formed. It is based off of the ACHIEVE program-(Action Communities for Health, Innovation, and EnVironmental changeE. The group brings together local leaders and stakeholders to build healthier communities. The group consists of about 50 city leaders and organization representatives from area health care providers, schools, etc. WCCHD is leading the coalition.
- Williamson County and Cities Health District (WCCHD), on behalf of the Wilco Wellness Alliance, was awarded \$500,000.
- The *Transforming Texas: Healthy People in Healthy Communities* grant is intended to improve the health and safety of communities by increasing access to care, integrating community prevention services and clinical services, and eliminating health disparities. Communities awarded the grant are required to address three strategic directions, which are aligned with Healthy People 2020: 1) tobacco free living, 2) active living and healthy eating, and 3) high impact, evidence-based clinical and other preventive services, specifically prevention and control of high blood pressure and high cholesterol.
- In Williamson County, *Transforming Texas* will be spearheaded by the WilCo Wellness Alliance. The Alliance was formed in 2009 when the County was designated as an Action Community for Health, Innovation, and Environmental Change (ACHIEVE) by the National Association of Chronic Disease Directors. The County has received other positive distinctions for its health including: Healthiest county in Texas in 2010 and 2011 by the County Health Rankings, as well as Silver recognition for Georgetown from the 2011 Heart and Stroke Healthy City Recognition Program.

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Priority Score: 37 Responsible Department: City Planning, TEDC

Number 31, Chapter 4, Land Use Time range: Medium

Objective L3: Provide viable retail, office and industrial areas that support opportunities for economic development.

Action L3.8: Provide existing businesses economic development programs, incentives, and assistance for expansion and or relocation to areas designated on the Future Land Use Plan.

Status:

- TEDC and the City have policies to assist existing businesses.
- The City updated their Economic Development Incentives Guidelines in late 2008 that include policies that are incentives for new and local businesses.
- The TEDC, Chamber and the City assist with local business retention and expansion efforts via the ~~newly formed~~ "BR & E" partnership. Tracking software was purchased and interlocal agreements were approved between the Chamber and the City; and the Chamber and the TEDC.
- The City provides a rental assistance program for new businesses in the Downtown area.

Priority Score: 37 Responsible Department: City Planning

Number 47, Chapter 6, Transportation Time range: Long

Objective T3: Create a “front door” entry and identity for the City.

Action T3.1: Develop a design for city entrance signs and landscaping that reflect the character of Taylor.

Status:

- Designs for city entrance signs and landscaping are pending creation of the Gateway Zoning district.

Priority Score: 36 Responsible Department: City Planning

Number 9, Chapter 4, Land Use Time range: Long

Objective L1: Provide suitable areas for a variety of residential types and densities.

Action L1.9: Require and/or install appropriate landscaping along major arterials and local streets. Develop requirements and list of approved plants and materials.

Status:

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No action has taken place on this goal. The City's Landscaping Ordinance does cover most of the intent of this goal, but does not highlight the major corridors as opposed to any other part of the City. The Landscaping Plan contains a list of appropriate plants and trees for Taylor's climate, as well as a list of invasive or prohibited plants for Taylor. TxDOT has included landscaping around its interchanges in Taylor.

Priority Score: 36 Responsible Department: WCCHD, Interagency

Number 107, Chapter 10, Health and Human Services Time range: Long

Objective HH2: Work with area providers so that health and human services are supported and delivered in an effective and efficient manner.

Action HH2.1: Establish a strategic planning committee to develop and review recommendations periodically on public accessibility to various health and human services facilities, to include effectiveness of the public transit system.

Status:

- Interagency Support Council encourages CARTs to bring folks to the senior center and allow them time to pick up a bag of groceries as well as participate in the Meal on Wheels program.
- A comprehensive steering committee was formed in 2010 to develop a request to the Department of Aging and Disabled Services for funding a comprehensive Aging and Disabled Resource Center for Williamson and Bastrop Counties located in Taylor at the former Middle/High School.

Priority Score: 35 Responsible Department: City Planning, Habitat for Humanity, CHDO

Number 35, Chapter 5, Housing Time range: Long

Objective H3: Assist in the provision of new low-income rental and ownership units, and a variety of housing to meet the needs of Taylor's growing and changing population.

Action H3.2: Support the development of a volunteer home repair service for the elderly and disabled.

Status:

- During 2012 the City ~~has~~ received an Amy Young Barrier Removal Grant of up to \$91,000 to be used on five homes in Taylor for barrier removal for low-mod income residents.
- Habitat for Humanity began construction of single family homes during 2008 and continued into ~~2011~~ 2013. However, a volunteer driven effort to help repair homes is not yet available.
- The local Kiwanis Club occasionally installs ramps to homes for needy residents about once per quarter.

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Priority Score: 34 Responsible Department: City Planning, Main Street, TEDC

Number 26, Chapter 4, Land Use Time range: Long

Objective L4: Preserve and enhance Downtown and Downtown Neighborhoods character and economic investment.

Action L4.3 Create a Community Development Corporations (CDCs), and Community Housing Development Organizations (CHODOs) to address redevelopment and new housing.

Status:

The City has formally created a Community Development Corporation (CDC) and appointed a Board for the organization to help with affordable housing, small business assistance/development, and neighborhood redevelopment.

Priority Score: 34 Responsible Department: City Planning, Building Inspections

Number 37, Chapter 5, Housing Time range: Long

Objective H4: Assist in the preservation and rehabilitation of historic housing units.

Action H4.1: Revisit the housing code regarding historic preservation and rehabilitation. Investigate the use of alternative or sub codes for rehabilitation of older buildings. Currently the cost to bring historic homes up to the current building code and to the codes of historic preservation makes the investment too costly.

Status:

See Action H1.4 above.

Priority Score: 34 Responsible Department: WCCHD, Interagency

Number 106, Chapter 10, Health and Human Services Time range: Long

Objective HH2: Work with area providers so that health and human services are supported and delivered in an effective and efficient manner.

Action HH2.1: Establish a strategic planning committee to develop and review recommendations periodically on manpower and expertise of the labor force needed to meet current and projected health and human services needs.

Status:

See Action HH2.1 above.

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Priority Score: 33 Responsible Department: City Planning

Number 34, Chapter 5, Housing Time range: Long

Objective H3: Assist in the provision of new low-income rental and ownership units, and a variety of housing to meet the needs of Taylor's growing and changing population.

Action H3.1: Provide appropriate land use designations, zoning if applicable, and development guidelines for a senior housing complex with independent living, assisted living and nursing home care for the aging population who are no longer able to care for their homes.

Status:

Specific future policies are pending. Main Street Commons ~~will~~ provide 75 units for senior citizens, ~~which began construction this year~~. The applicant received tax credits from TDHCA for this project. Other developers have evaluated Taylor as a good market for senior housing. SPJST completed the addition of 36 units for a total of 60 units to their assisted living facility on Lakeview Drive, and the Gardens of Taylor provides 36 units for seniors ~~during the past four years~~.

Priority Score: 33 Responsible Department: City Planning, WCCHD, Interagency, Faith Based Community

Number 131, Chapter 10, Health and Human Services Time range: Long

Objective HH6: Work with local organizations to celebrate diversity through community activities and events that recognize different groups

Action HH6.5: Support community efforts that work toward achieving a diversity of ages, incomes, household types and sizes, and cultural backgrounds throughout the City of Taylor.

Status:

The City has not developed any formal policies to this effect. However, the Council has supported applications for Housing Tax Credits in the past for senior housing.

Priority Score: 33 Responsible Department: City Planning, WCCHD, Interagency, Faith Based Community

Number 132, Chapter 10, Health and Human Services Time range: None stated

Objective HH6: Work with local organizations to celebrate diversity through community activities and events that recognize different groups

Action HH6.6: Coordinate the planning effort to assess the need for a Community Health and Human Services Center which would include a senior citizen center.

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Status:

The Switzer Senior Center has been in operation for 4 years. The facility is to be used by Taylor senior citizens for the betterment of their lives by offering various activities, fellowship and learning experiences. Monies were received for repairs, renovation and furnishing of a local facility (the old Taylor Middle School) for this purpose. See Objective HH2.1

Priority Score: 32 Responsible Department: TISD, Interagency, TCAT, WCCHD, City Planning

Number 108, Chapter 10, Health and Human Services Time range: Long

Objective HH3: Formalize planning relationship among the school district, Temple Community College, Williamson County, neighborhoods, and the City of Taylor by encouraging strong and continuing community and school relationships beyond the walls of facilities by utilizing the neighborhood as an educational resource.

Action HH3.1: Publicly recognize neighborhoods which have shown individual initiative to improve schools within their neighborhoods.

Status:

- No formal program has been developed to initiate Neighborhood Planning and consequently recognize neighborhoods for their efforts to improve their areas. However other efforts are underway.
- The Duck University students in Taylor Independent School District's 21st Century Community Learning Center After School Program are able to improve reading, math, and science skills (The new TEA Grant expires May 2014).

Priority Score: 32 Responsible Department: City Planning

Number 109, Chapter 10, Health and Human Services Time range: Long

Objective HH3: Formalized planning relationships among the school district, Temple Community College, Williamson County, neighborhoods, and the City of Taylor by encouraging strong and continuing community and school relationships beyond the walls of facilities by utilizing the neighborhood as an educational resource.

Action HH3.2: Establish incentives which encourage neighborhoods to support their schools.

Status:

- Within its PTO Program, the TISD makes babysitting and food available.
- The BLADE (Beginners Learning Alternative Designs of Energy) club students from Taylor High School built a solar system and mounted it onto a donated van. The club entered the solar project into the 38th IEEE PVSC's High School Photovoltaic Design Competition in May 2012, and won first prize. The prize was an educational photovoltaic system. The BLADE club working with Taylor ISD then applied for a grant

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from the State Energy Conservation Office in Feb 2013 and was awarded funds. The Taylor ISD board approved the use of the grant for the installation of the educational photovoltaic system in 2013. The BLADE club is currently for high school students and is led by volunteers from ERCOT.

Priority Score: 30 Responsible Department: City Planning, Engineering

Number 43, Chapter 6, Transportation Time range: Long

Objective T2: Explore alternative transportation modes that could be available to the residents of the City.

Action T2.1: Provide designated on and off street bike routes.

Status:

- Off-street pedestrian and bike trails have been developed through state grants (Safe Routes and STPMM).
- The city completed a fee in lieu of sidewalk construction application during 2009 to allow individuals who are required to build sidewalks to apply to pay a fee of \$3.00 (lowered from \$6.00) per square foot.
- No action has been taken to develop an updated policy or plan for on and off street bike routes besides the Comprehensive Plan.
- A sidewalk plan will need to be developed in the near future to address how and where the sidewalk funds will be expended from the fee in lieu program.

Priority Score: 29 Responsible Department: City Planning, THA

Number 33, Chapter 5, Housing Time range: Long

Objective H2: Assist in the relocation of displaced tenants due to the demolition or renovation of rental housing.

Action H2.1: Work with non-profits, church and community groups to help provide assistance to displaced tenants by providing temporary shelter.

Status: Pending-

Priority Score: 24 Responsible Department: City Planning

Number 38, Chapter 5, Housing Time range: Long

Objective H5: Encourage the development of new larger homes and high-end retirement developments in Taylor and its ETJ.

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Action H5.1: Provide appropriate land use designations, zoning if applicable, and development guidelines for a new community for retirees, centered on a recreational facility. (Such a development is not dependent on the employment climate and could be sustained in the ETJ, while bringing more retail and support dollars to City businesses).

Status:

The City of Taylor adopted updated Economic Development Incentive Guidelines in August 2008 and updated it during late 2010 to encourage development of higher-end homes.

Acronyms

1. AAA-CA - Area Agency on Aging of the Capital Area
2. BR & E - Business Retention and Expansion
3. CARTS - Capitol Area Rural Transportation System
4. CDBG - Community Development Block Grant
5. CDC - Community Development Corporation
6. CHDO - Community Housing Development Organization
7. CHESS - Community Health Education Social Services
8. DN - Downtown Neighborhood Zoning District
9. ETJ - Extra Territorial Jurisdiction
10. FAA - Federal Aviation Administration
11. GPS - Global Positioning System
12. HUD - Department of Housing and Urban Development
13. ICSC - International Council of Shopping Centers
14. IEC - International Electric Code
15. IPMC - International Property Maintenance Code
16. PTO - Parent – Teachers Organization
17. RFP - Request for Proposals
18. RPZ - Reduced Pressure Zone
19. STPMM - Surface Transportation Program Metro Mobility
20. TAMA - Taylor Area Ministerial Alliance
21. TCAT- Temple College at Taylor
22. TDHCA - Texas Department of Housing and Community Affairs
23. TEA - Texas Education Agency
24. TEDC - Taylor Economic Development Corporation
25. THA - Taylor Housing Authority
26. TISD - Taylor Independent School District
27. TSTC - Texas State Technical College
28. TxDOT - Texas Department of Transportation
29. UP- Union Pacific Railroad
30. VA - Veteran’s Administration
31. VISTA - Volunteers in Service to America
32. WBCO - Williamson-Burnet County Opportunities
33. WCCHD - Williamson County and Cities Health District
34. YMCA - Young Men’s Christian Association

Comprehensive Plan Actions Summary - July 2013

	Adopted	Mar-09	Apr-10	Sep-10	Mar-11	Oct-11	04/12/2012	12/12/2012	07/01/2013
Total Number of Actions	88								
Total Number of Actions Completed		28	1	3	1	2	1	0	0
Number of Actions Remaining		60	59	56	55	53	52	52	52
 Number of Short Range Actions:	19								
Completed		9	0	0	0	0	1	0	0
In Progress		10	10	10	10	10	9	9	9
Pending/No Action		0	0	0	0	0	0	0	0
		19	10	10	10	10	9	9	9
 Number of Medium Range Actions:	26								
Completed		12	1	1	0	0	0	0	0
In Progress		13	13	13	13	13	13	13	13
Pending/No Action		1	0	0	0	0	0	0	0
		26	14	14	13	13	13	13	13
 Number of Long Range Actions:	22								
Completed		6	0	1	1	2	0	0	0
In Progress		16	15	14	13	12	12	12	12
Pending/No Action		0	1	1	<u>1</u>	1	1	1	1
		22	16	16	15	15	15	15	15
 Number of On Going Actions:	20								
Completed		1	0	2	0	0	0	0	0
In Progress		19	19	17	17	17	17	17	17
Pending/No Action		0	0	0	0	0	0	0	0
		20	19	17	17	17	17	17	17
	88	28	1	3	1	2	1	0	0

Total Actions Completed

36



City Council Meeting
July 25, 2013
Agenda Item Transmittal

Agenda Item #: 3

Agenda Title: Consider approving Resolution R13-14 update and renew the Investment Policy to add brokerage firm.

Council Action to be taken: Approve updated and renew investment policy to add a broker

Initiating Department: Finance Department

Staff Contact: Rosemarie Dennis, Director

1. INTRODUCTION/PURPOSE

The purpose of Resolution R13-14 is to have the Council approve the City's Investment Policy that will include First Empire Securities as a broker/dealer to the list of authorized brokers.

2. DESCRIPTION/ JUSTIFICATION

The attached Investment Policy is being presented to Council for consideration as a revision to the City's current Investment Policy which will now add First Empire Securities to the broker/dealers list. With approval from Council, this action will allow staff to diversify its investments with the approved broker who will actively seek higher yields and at the same time, stay within the guidelines of the Public Investment Funds Act and the City's Investment Policy. It will also assist staff in developing a comprehensive financial portfolio that will meet our short and long-term growth objectives.

First Empire Securities is a licensed brokerage firm in good standing with the Texas Department of Securities, the Securities Exchange Commission and the National Association of Securities Dealers.

3. FINANCIAL/BUDGET

Increase the City's interest income earnings.

4. TIMELINE CONSIDERATIONS

N/A

5. RECOMMENDATION

Staff recommends approving the revised City of Taylor's Investment Policy.

6. REFERENCE FILES

- 3a. [Resolution R13-14](#)
- 3b. Exhibit "A"- [Investment Policy with Attachment A](#).
- 3c. [FINRA Broker Summary](#)

RESOLUTION NO. R13-14

**A RESOLUTION OF THE CITY OF TAYLOR, TEXAS, UPDATE AND
RENEW ITS INVESTMENT POLICY**

WHEREAS, House Bill 2459 passed by the Seventy-Fourth Legislature requires that the City renew its Investment Policy on an annual basis.

**NOW, THEREFORE, IT IS HEREBY RESOLVED BY THE CITY COUNCIL OF
THE CITY OF TAYLOR:**

SECTION 1.0 In order to comply with House Bill 2459 adopted by the Seventy-Fourth Legislature of the State of Texas, the City of Taylor does hereby update and renew its Investment Policy as set out and contained in the instrument bearing such title, a copy of which is attached as Exhibit A and was presented to and considered at the meeting at which this resolution is adopted.

SECTION 2.0 The Director of Finance as Chief Financial Officer of the City is hereby authorized and directed to carry out the provisions of such Investment Policy as the Investment Officer.

INTRODUCED, PASSED AND APPROVED by the City Council of the City of Taylor, Texas, on the 11th day of July, 2013.

Jesse Ancira, Jr., Mayor

ATTEST:

Susan Brock, City Clerk

CERTIFICATE

THE STATE OF TEXAS

COUNTY OF WILLIAMSON

I, Susan Brock, being the current City Clerk of the City of Taylor, Texas, do hereby certify that the attached is a true and correct copy of Resolution No. R13-14 passed and approved by the City Council of the City of Taylor, Texas, on the 11th day of July 2013, and such Resolution was duly passed and approved at a meeting open to the public and notices of the meeting, giving the dates, place, and subject matter thereof, were posted as prescribed by Government Code Section 551.043.

Witness my hand and seal of office this 11th day of July 2013.

Susan Brock
City Clerk

EXHIBIT A

**INVESTMENT POLICY
THE CITY OF TAYLOR TEXAS**

July 11, 2013

INVESTMENT POLICY OF THE CITY OF TAYLOR TEXAS

July 11, 2013

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INVESTMENT POLICY OF THE CITY OF TAYLOR TEXAS

July 11, 2013

I. PURPOSE

A. Formal Adoption

This investment policy, when reviewed and amended by the City Council of Taylor, on July 11, 2013, will replace the adopted Investment Policy dated the 24th of January, 2013. This investment policy satisfies the statutory requirements of the Public Funds investment Act (Chapter 2256, Texas Government Code).

B. Scope

This Investment Policy applies to all investment activities of the City and to all assets of all funds of the City of Taylor at the present time and any funds received in the future, *excluding the Employee Retirement Trust and the deferred compensation plan*. Any funds held in custody by the City of Taylor shall be administered in accordance with the provisions of these policies unless expressly prohibited by law or unless it is in contravention of any depository contract between the City and depository bank. This Policy establishes guidelines for those who can invest City funds, for how City funds will be invested, and for when and how a periodic review of investments will be made. In addition to this Policy, bond funds (as defined by the Internal Revenue Service) shall be managed by their governing resolution and all applicable State and Federal law.

II. INVESTMENT OBJECTIVES

A. Safety of Principal

The primary objective of all investment activity is the preservation of capital and the safety of principal in the overall portfolio. Each investment transaction shall seek to ensure first that capital losses are avoided, whether they are from securities defaults or erosion of market value.

B. Maintenance of Adequate Liquidity

The investment portfolio will remain sufficiently liquid to meet the cash flow requirements that might be reasonably anticipated. Liquidity shall be achieved by matching investment maturities with forecasted cash flow requirements; investing in securities with active secondary markets; and maintaining appropriate portfolio diversification.

C. Diversification

The policy of the City of Taylor, except when investing with the Depository Bank or Investment Pools will be to diversify its portfolio. This will be done to eliminate the risk of loss resulting from one concentration of assets in a specific maturity, a specific issuer or a specific class of investments. Investments of the City shall always be selected that provide for stability of income and reasonable liquidity.

D. Yield

Consistent with federal and state law and the City's depository contract, it will be the objective of the Investment Officer to earn the maximum interest rate allowed within the constraints of safety and liquidity.

E. Maturity

Portfolio maturities will be staggered, if market conditions are favorable, in a way to achieve the highest return of interest but at the same time provide for the necessary liquidity to meet the City's cash needs. The maximum allowable stated final maturity of any individual investment owned by the City will be ten years. [Sec. 2256.005 (b) (4) (B)]

F. Sale of Securities before Maturity

The City Investment Officer may sell securities before maturity if:

- market conditions present an opportunity for the City to benefit from sale;
 - funds are urgently needed to meet unforeseen expenses, even if there is a loss of interest and/or principal due to the sale; and
 - a security has lost its minimum required rating as an authorized investment.
- [Sec. 2256.02]

G. Quality and Capability of Investment Management

It is the City's policy to provide training required by the Public Funds Investment Act, Sec. 2256.008 and periodic training in investments for the Investment Officer through courses and seminars offered by professional organizations and associations in order to insure the quality and capability of the City's Investment Officer in making investment decisions.

III. INVESTMENT STRATEGY

A. General

In conjunction with the annual Policy review, the City Council shall review the investment strategy for each of the City's funds. The investment strategy must describe the investment objectives for each particular fund according to the following priorities:

- investment suitability,
- preservation and safety of principal,
- liquidity,
- marketability prior to maturity of each investment,
- diversification, and
- yield

In order to minimize risk of loss due to interest rate fluctuations, investment maturities will not exceed the anticipated cash flow requirements of the funds.

B. Investment Guidelines by Fund Type

Investment guidelines by fund-type are as follows:

1. Current Operating Funds

Current operating funds require the greatest short-term liquidity of any of the fund types. Therefore, diversified investment maturities shall provide monthly cash flow based on the anticipated operating needs of the City. Short-term investment pools and money market mutual funds shall provide daily liquidity and may be utilized as a competitive yield alternative to fixed maturity investment. Additionally, securities with active and efficient secondary markets are necessary in the event of an unanticipated cash requirement.

According to City Policy, the weighted average days to maturity for the current operating fund portfolio shall be less than 200 days and the maximum allowable maturity shall be two years. The Investment Officers will monitor the average days to maturity level and make changes as appropriate.

2. Bond Proceeds

Bond proceeds used for construction programs have reasonably predictable draw down schedules. Therefore investment maturities shall generally follow the anticipated cash flow requirements. Because of the potential for variance from the anticipated draw down schedule and actual expenditures most investment securities shall have active and efficient secondary markets. Investment pools and money market mutual funds shall provide readily available funds generally equal to one month's anticipated cash flow needs, or a competitive yield alternative for short term fixed maturity investments. A singular repurchase agreement may be utilized if disbursements are allowed in the amount necessary to satisfy any expenditure request, this investment structure is commonly referred to as a flexible repurchase agreement.

Market conditions and the arbitrage regulations may influence the attractiveness of locking in fixed rate investments for bond proceeds. Generally if investment rates exceed the applicable arbitrage yield for a specific bond issue, the City is best served by locking in most investments. If the arbitrage yield can not be exceeded, then concurrent market conditions will determine the attractiveness of locking in maturities or investing shorter. At no time shall the anticipated expenditure schedule be exceeded in an attempt to bolster yield.

3. Repair and Replacement Funds

The investment maturity of repair and replacement funds shall generally be limited to the anticipated cash flow requirement according to any budgeted project schedule. Market conditions and the anticipated spending schedule shall determine the maximum investment periods. Investment securities shall be diversified as to maturity and type with a portion of the securities highly marketable or liquid to cover the unpredictability of emergency repairs. The Investment Policy restricts the maximum maturity to three years and the maximum average life of the fund to one year.

4. Debt Service Funds

Debt service funds shall be invested to ensure adequate funding for each consecutive debt service payment. The Investment Officer shall invest in such a manner as not to exceed an "un-funded" debt service date with the maturity of any investment security. The predictability of each payment reduces the need for security diversification, marketability and fund liquidity. Therefore, market conditions shall determine the attractiveness of the eligible investments.

5. Bond Reserve Funds

Bond reserve funds have no anticipated expenditures. The funds are deposited to provide annual debt service payment protection to the City's bondholders. The funds are "returned" to the City at the final debt service payment. Market conditions and arbitrage regulation compliance determine the advantage of security diversification and maturity selection. Generally if investment rates exceed the applicable arbitrage yield for a specific bond issue, the City is best served by locking in most investments. If the arbitrage yield cannot be exceeded, then concurrent market conditions will determine the attractiveness of locking in maturities or investing shorter and anticipating future increased yields. Maturities shall generally not exceed the call provisions of the bond issue to reduce the investment's market risk if the City's bonds are called and the reserve fund liquidated. No investment maturity shall exceed the final maturity of the bond issue.

Bond resolution constraints and insurance company restrictions create issue-specific considerations in addition to the Investment Policy. Annual mark-to-market requirements or specific maturity and average life limitations will influence the attractiveness of market risk and reduce the opportunity for maturity extension.

6. Operating Reserve Funds

The operating reserve funds are essentially City savings. Reductions are generally not anticipated. Therefore, the predictability of the cash requirements of other City funds will govern the appropriate maturity mix. Market conditions, City financial condition and risk/return analysis may adjust the strategy to the maximum maturity of ten years and the weighted average life of five years.

7. Fiduciary Funds

Fiduciary Funds are used to report assets held in a trustee or agency capacity for others. The funds principal therefore cannot be used to support the government's own programs. Since the purpose of these funds is for investment purposes only, market conditions and risk/return analysis may adjust the strategy to the maximum maturity of ten years.

IV. INVESTMENT POLICIES

A. Eligible Investments

Investments described below are authorized by Chapter 2256, Texas Government Code as eligible securities for the City. The purchase of specific issues may at times be restricted or prohibited by the Investment Officers. City funds governed by this Policy may be invested in:

1. Obligations of the United States or its agencies and instrumentalities, excluding principal-only and interest-only mortgage backed securities, collateralized mortgage obligations and real estate mortgage investment conduits.
2. Direct obligations of the State of Texas, or its agencies and instrumentalities.
3. Other obligations, the principal and interest on which are unconditionally guaranteed or insured by, or backed by the full faith and credit of, the State of Texas or the United States or their respective agencies and instrumentalities.
4. Obligations of states, agencies, counties, cities, and other political subdivisions of any State having been rated as to investment quality by a nationally recognized investment rating firm and having received a rating of not less than "A" or its equivalent.
5. Fully collateralized repurchase agreements having a defined termination date, placed through a primary government securities dealer, as defined by the Federal Reserve, or a bank domiciled in Texas, and secured by obligations described by 1-4, above which are eligible investments under the Public Funds Investment Act, pledged with a third party selected or approved by the City, and having a market value of not less than the principal amount of the funds disbursed. The term includes direct security repurchase agreements entered into by the City and reverse repurchase agreements only obtained in connection with investment by the City in an Eligible Investment Pool or Money Market Mutual Fund. A signed Master Repurchase Agreement as described in B.4 shall govern All City repurchase agreement transactions of this section.
6. Certificates of deposit issued by State and national banks and savings and loan associations domiciled in Texas that are:
 - a. Guaranteed or insured by the Federal Deposit Insurance Corporation, or its successor, or, secured by obligations that are described by 1-4 above, which are intended to include all direct Federal agency or instrumentality issued mortgage backed securities, but excluding those mortgage backed securities of the nature described in Section 2256.009(b) of the Texas Government Code, that have a market value of not less than the principal amount of the certificates or in any other manner and amount provided by law for deposits of the City;
 - b. Governed by a Depository Contract, as described in B.4 of this section, that complies with Federal and State regulation to properly secure a pledged security interest, and,
 - c. Solicited for bid verbally, in writing, electronically, or any combination of those methods.
7. Money market mutual funds regulated by the Securities & Exchange Commission, with a dollar weighted average portfolio maturity of 90 days or less; that fully invests dollar-for-dollar all City funds without sales commissions or loads; and, whose investment objectives include seeking to maintain a stable net asset value of \$1 per share. The City may not invest funds under its control in an amount that exceeds 10% of the total assets of individual money market mutual fund or exceeds 80% of its monthly average fund balance, excluding bond proceeds and reserves and other funds held for debt service in money market mutual

funds. Government Code 2256.014(c).

8. Eligible Investment Pools as defined in Section 2256.016 of the Texas Government Code provided that (a) investment in the particular pool has been authorized by the City Commission; (b) the pool shall have furnished the Investment Officers or other authorized representatives of the City an offering circular containing the information required by Section 2256.016(b) of the Government Code; (c) the pool shall furnish to the Investment Officers or other authorized representatives of the City Investment transaction confirmations with respect to all investments made with it; (d) the pool shall furnish to the Investment Officers or other authorized representatives of the City, monthly reports that contain the information required by Section 2256.016 (c) of the Government Code; (e) the pool shall be a public funds investment pool created to function as a Money Market mutual fund maintaining a \$1 net asset value (f) the pool's assets shall consist exclusively of the obligations that are described by 1-7 above; (g) and whose investment philosophy and strategy are consistent with this Policy and the City's ongoing investment strategy.
9. Commercial paper that has a stated maturity of 270 days or fewer from the date of issuance and is rated not less than A1-1 or P-1 or an equivalent rating by at least two nationally recognized credit rating agencies or by one nationally recognized credit rating agency provided the commercial paper is fully secured by an irrevocable letter of credit issued by a bank organized and existing under U. S. law or the law of any state. Gov't Code 2256.013

B. Protection of Principal

The City shall seek to control the risk of loss due to the failure of a security issuer or grantor. Such risk shall be controlled by investing only in the safest types of securities as defined in the Policy; by qualifying the broker, dealer and financial institution with whom the City will transact, by collateralization as required by law; and through portfolio diversification by maturity and type.

The purchase of individual securities shall be executed "delivery versus payment" through the City's Safekeeping Agent. By so doing, City funds are not released until the City has received, through the Safekeeping Agent, the securities purchased.

1. Diversification by Investment Type

The City's Portfolio shall be diversified to eliminate the risk of loss resulting from one concentration of assets in a specific maturity, a specific issuer or a specific class of investments. Investments of the City shall always be selected that provide for stability of income and reasonable liquidity.

The policy of the City of Taylor, except when investing with the Depository Bank, Investment Pools, U.S. Treasury Bills, Bonds and Notes or in U.S. Agencies, will be to diversify its portfolio when investing in the following:

- a. Certificates of Deposit of other banks, savings banks and state or federal credit unions domiciled in Texas;
- b. Repurchase Agreements;
- c. Commercial Paper; or
- d. Other investment instruments provided by law

Bond proceeds may be invested in a single security or investment if the Investment Officer determines that such an investment is necessary to comply with Federal arbitrage restrictions or to facilitate arbitrage record keeping and calculation.

2. Diversification by Investment Maturity

In order to minimize risk of loss due to interest rate fluctuations, investment maturities will not exceed the anticipated cash flow requirements of the funds. Maturity guidelines by fund are as follows:

a. Current Operating Funds

The weighted average days to maturity for the operating fund portfolio shall be less than 200 days and the maximum allowable maturity shall be two years.

b. Bond Proceeds

The investment maturity of bond proceeds (excluding reserve and debt service funds) shall generally be limited to the anticipated cash flow requirement or the "temporary period," as defined by Federal tax law. During the temporary period bond proceeds may be invested at an unrestricted yield. After the expiration of the temporary period, bond proceeds subject to yield restriction shall be invested considering the anticipated cash flow requirements of the funds and market conditions to achieve compliance with the applicable regulations.

c. Repair and Replacement Funds

The investment maturity of repair and replacement funds shall generally be limited to the anticipated cash flow requirement according to the budgeted project schedule. The maximum maturity shall not exceed three years and the maximum average life of the fund shall not exceed one year.

d. Debt Service Funds

Debt Service Funds shall be invested to ensure adequate funding for each consecutive debt service payment. The Investment Officers shall invest in such a manner as not to exceed an "unfunded" debt service date with the maturity of any investment. An unfunded debt service date is defined as a coupon or principal payment date that does not have cash or investment securities available to satisfy said payment.

e. Bond Reserve Funds

Market conditions, Bond Resolution constraints and Arbitrage regulation compliance will be considered when formulating Reserve Fund strategy. Maturity limitation shall generally not exceed the call provisions of the Bond Resolution and shall not exceed the final maturity of the bond issue.

f. Operating Reserve Funds

The anticipated cash requirements of other City funds will govern the appropriate maturity mix. Appropriate portfolio strategy shall be determined based on market conditions, Policy compliance, City financial condition, and risk/return constraints. Maximum maturity shall not exceed ten years and each fund's weighted average life shall not exceed five years.

g. Fiduciary Funds

Since the purpose of these funds is for investment purposes only, market conditions and risk/return analysis may allow maximum maturity of ten years.

3. Ensuring Liquidity

Liquidity shall be achieved by anticipating cash flow requirements, by investing in securities with active secondary markets and by investing in eligible money market mutual funds and local government investment pools.

A security may be liquidated to meet unanticipated cash requirements, to re-deploy cash into other investments expected to outperform current holdings, or otherwise to adjust the portfolio.

4. Collateralization

Consistent with the requirements of State law, the City requires all bank and savings and loan association deposits to be federally insured or collateralized with eligible securities. Financial institutions serving as City Depositories will be required to sign a Depository Agreement with the City and the City's safekeeping agent. The safekeeping portion of the Agreement shall define the City's rights to the collateral in case of default, bankruptcy, or closing and shall establish a perfected security interest in compliance with Federal and State regulations, including:

- Agreement must be in writing;
- Agreement has to be executed by the Depository and the City contemporaneously with the acquisition of the asset;
- Agreement must be approved by the Board of Directors or the loan committee of the Depository and a copy of the meeting minutes must be delivered to the City;
- Agreement must be part of the Depository's "official record" continuously since its execution.

Repurchase agreements must also be secured in accordance with State law. Each counter party to a repurchase transaction is required to sign a copy of the Public Securities Association Master Repurchase Agreement as approved by the City. An executed copy of this Agreement must be on file before the City will enter into any transactions with a counter party. All Master Repurchase Agreements must be approved by the Administrative Policy Committee.

a. Allowable Collateral

(1) Certificates of Deposit

Eligible securities for collateralization of deposits are defined by the Public Funds Collateral Act, as amended and meet the constraints of this Policy.

(2) Repurchase Agreements

Securities underlying repurchase agreements are limited to U. S. Government,

Agencies and Instrumentalities obligations, which are eligible for wire transfer (i.e., book entry) to the City's designated safekeeping agent through the Federal Reserve System and meet the constraints of this Policy.

b. Collateral Levels

(1) Certificates of Deposit

The market value of the principal portion of collateral pledged for certificates of deposit must at all times be equal to or greater than the par value of the certificate of deposit plus accrued interest, less the applicable level of FDIC insurance.

(2) Repurchase Agreements

A repurchase agreement's security value shall be the par value plus accrued interest, and the security's market value must be maintained at the following minimum levels:

Agreement Maturities Greater Than One Business Day

U. S. Treasury Securities	102%
U. S. Agency & Instrumentalities.	102%
Mortgage Backed Securities.	105%

Agreement Maturities of One Business Day

All Securities.	100%
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c. Monitoring Collateral Adequacy

(1) Certificates of Deposit

The City shall require monthly reports with market values of pledged securities from all financial institutions with which the City has certificates of deposit. The Investment Officers will monitor adequacy of collateralization levels to verify market values and total collateral positions.

(2) Repurchase Agreements

Weekly monitoring by the Investment Officers of market values of all underlying securities purchased for City repurchase transactions is required. More frequent monitoring may be necessary during periods of market volatility.

d. Additional Collateral and Securities

(1) Certificates of Deposit

If the collateral pledged for a certificate of deposit falls below the par value of the deposit, plus accrued interest less FDIC insurance, the institution issuing the CD's will be notified by the Investment Officers and will be required to pledge additional securities no later than the end of the next succeeding business day.

(2) Repurchase Agreements

If the value of the securities underlying a repurchase agreement falls below the margin maintenance levels specified above, the Investment Officers will request additional securities. If the repurchase agreement is scheduled to mature within five business days and the amount is deemed to be immaterial, then the request is not necessary.

e. Collateral Substitution

Collateralized certificates of deposit and repurchase agreements often require substitution of collateral. Any broker, dealer or financial institution requesting substitution must contact the Investment Officers for approval and settlement. The substituted security's value will be calculated and substitution approved if its value is equal to or greater than the required security level. The Investment Officers, or a designee, must provide written notification of the decision to the bank or the safekeeping agent holding the security prior to any security release. Substitution is allowable for all transactions, but should be limited, if possible, to minimize potential administrative problems and transfer expense. The Investment Officers may limit substitution and assess appropriate fees if substitution becomes excessive or abusive.

5. Safekeeping

a. Safekeeping Agreement

The City shall contract with a bank or banks for the safekeeping of securities either owned by the City as a part of its investment portfolio or as part of its depository and repurchase agreements.

b. Safekeeping of Certificate of Deposit Collateral

All collateral securing bank and savings and loan deposits must be held by a third party banking institution acceptable to and under contract with the City, or by the Federal Reserve Bank.

c. Safekeeping of Repurchase Agreement Securities

The securities purchased under repurchase agreements must be delivered to a third party custodian with whom the City has established a safekeeping agreement.

C. Investment Advisors and Broker/Dealers

Investment selection for all funds shall be based on legality, appropriateness, liquidity, and risk/return considerations. All City investment portfolios shall be actively managed to enhance overall interest income. Investment Advisors shall adhere to the spirit, philosophy and specific term of this Policy and shall invest within the same "Standard of Care." Investment Broker/Dealers shall adhere to the spirit, philosophy and specific term of this Policy and shall avoid recommending or suggesting transactions outside that "Standard of Care."

Selection of Brokers/Dealers must be licensed and in good standing with the Texas Department of Securities, the Securities Exchange Commission, the National Association of Securities Dealers or other applicable self-regulatory organization.

Selection of Investment Advisors and Broker/Dealers will be performed by the Investment Officer. The Investment Officer will establish criteria to evaluate Investment Advisors and Broker/Dealers, including:

1. Adherence to the City's policies and strategies;
2. Investment performance and transaction pricing within accepted risk constraints;
3. Responsiveness to the City's request for services, information and open communication;
4. Understanding of the inherent fiduciary responsibility of investing public funds;
5. Similarity in philosophy and strategy with the City's objectives

Selected Investment Advisors and Broker/Dealers shall provide timely transaction confirmations and monthly portfolio reports.

Broker/Dealers eligible to transact investment business with the City shall be presented a written copy of this Investment Policy. Additionally the registered principal of the business organization seeking to transact investment business shall execute a written instrument substantially to the effect that the registered principal has:

- received and reviewed this Investment Policy, and
- acknowledged that the organization has implemented reasonable procedures and controls in an effort to preclude imprudent investment activities with the City.

The City shall not enter into an investment transaction with a Broker/Dealer prior to receiving the written instrument described above.

D. Responsibility and Controls

1. Authority to Invest

The Director of Finance is the "Investment Officer" of the City. As Investment Officer he/she is authorized to deposit, withdraw (by check executed over two signatures as outlined in this Policy), invest, transfer, execute documentation, and otherwise manage City funds according to the rules governing the investment of City funds provided in this Policy. In his/her absence, the Accountant, Assistant City Manager and/or City Manager may serve as Investment Officer in his place and are subject to the same training requirements and must adhere to the policies set forth in this Policy Statement.

The Director of Finance as Investment Officer shall attend at least one training session, within twelve months of assuming these duties, that addresses investment controls, security risks, strategy risk, market risks, and compliance with the Public Funds Investment Act.

2. Investment Officer Required Training

The City's Investment Officer shall:

- a. attend at least one training session from an independent source approved by the governing body of the local government or a designated investment committee advising the investment officer as provided for in this policy and containing a least 10 hours of instruction relating to the Investment Officer's responsibilities, within 12 months after taking office or assuming duties; and

b. attend an investment training session not less than once in a two-year period and receive not less than 10 hours of instruction relating to investment responsibilities from an independent source approved by the governing body of the local government or a designated investment committee advising the investment officer as provided for in the investment policy of the local government.

3. Prudent Investment Management

The designated Investment Officer shall perform their duties in accordance with the adopted Investment Policy and internal procedures. The Investment Officer acting in good faith and in accordance with these policies and procedures shall be relieved of personal liability.

4. Standard of Care

The standard of care used by the City shall be the "prudent investor rule" and shall be applied in the context of managing the overall portfolio within the applicable legal constraints. The Public Funds Investment Act states:

"Investments shall be made with judgment and care, under circumstances then prevailing, that a person of prudence, discretion and intelligence would exercise in the management of the person's own affairs, not for speculation, but for investment, considering the probable safety of capital and the probable income to be derived."

5. Liability of City Investment Officer

The City Investment Officer is not responsible for any loss of City funds through the failure or negligence of a depository. Sec. 113.005 Local Government Code does not release the Investment Officer from responsibility for a loss resulting from the official misconduct or negligence of the Investment Officer, including misappropriation of funds, or from responsibility for funds until a depository is selected and the funds are deposited.

6. Personnel Authorized to Transact Fiscal Affairs

All vouchers, checks, drafts, certificates of deposit, orders for the release or exchange of securities held as collateral for City's funds on deposit with its depository banks and any other instruments necessary in the transaction of City's financial affairs shall bear the signature of any two of the following (except that at least one of the signatures must be that of either the City Manager or the Director of Finance):

- a. Mayor
- b. City Manager
- c. Assistant City Manager
- d. Director of Finance
- e. Accountant

7. Standards of Ethics

The designated Investment Officer shall adhere to City's Code of Conduct. Additionally, the Investment Officer shall file with the Texas Ethics Commission and the City Council a statement disclosing any personal business relationship with an entity seeking to sell investments to the City or any relationship within the second degree by affinity or consanguinity to an individual seeking to sell investments to the City.

8. Establishment of Internal Controls

The Director of Finance will oversee the maintenance of a system of internal controls over the investment activities of the city.

9. Reporting

a. Quarterly Report

Investment performance will be monitored and evaluated by the Investment Officer. The Investment Officer will provide either quarterly or at more frequent intervals a comprehensive report that shall be certified by the Investment Officer and submitted to the City Council along with a periodic Financial Report. The investment report shall:

1. Describe in detail the investment position of the City,
2. State the reporting period beginning market value; additions or changes to the market value during the period and ending market value for the period of each pooled fund group,
3. State the maturity date of each investment security,
4. State the fund for which each investment security was purchased, and
5. State the compliance of the investment portfolio with the City's Investment Policy and the Public Funds Investment Act.

b. Annual Report and Audit

The City, in conjunction with its annual financial audit, shall perform a compliance audit of management controls on investments and adherence to the City's Investment Policy and strategies.

V. REVIEW AND AMENDMENT

The City of Taylor City Council shall review its investments policy and investment strategies on an annual basis. It shall be the duty of the Investment Officer to notify the City Council of any significant changes proposed in investment methods or procedures prior to their implementation. The Investment Policy, including a section on Investment Strategies shall be adopted annually by the City Council.

CITY OF TAYLOR INVESTMENT POLICY
Attachment “A”
Approved Broker/Dealer List
July, 2013

Duncan-Williams

Edward Jones

Morgan Keegan

JP Morgan Chase Securities

First Empire Securities

The brokers meet City investment policy requirements of:

1. Providing a signed certification that the entity has received and reviewed the City’s investment policy; and
2. The firm is licensed and in good standing with the Securities and Exchange Commission.

FIRST EMPIRE SECURITIES

Company History

First Empire Securities, Inc. is a full-service institutional brokerage firm, headquartered in New York. Since 1984, our firm has been committed to becoming a true strategic partner to our broad client base. We strive to provide customized fixed income investment solutions, and exceptional service and support, to depository institutions, municipalities, insurance companies and other institutional clients.



The key to future existence is long-term growth, especially in today's competitive financial markets. That's why for years, we have been investing in resources that will allow us to help our clients meet their goals. We pride ourselves in taking the time to learn and understand our clients' needs and objectives to provide the tools and knowledge necessary to develop strategic plans that assist you in meeting your financial goals.

Financial Strategy Group

Our Senior Financial Strategists are a team of industry professionals who work closely with our brokers and traders to provide investment solutions. They speak with hundreds of institutional clients and have access to a nationwide network of resources. Relying on decades of experience, our team will evaluate the direction of your future growth objectives, as well as potential challenges and opportunities, to recommend a strategic plan to help your goals become a reality.

Additionally, by employing the tremendous resources made available by our affiliated companies: Balance Sheet Management Services*, LPC Services* and First Empire CD Management,* we can provide key support in many areas, including:

- Risk Management with our Asset Liability Management Program
- Regulatory Consulting and Support
- Analytical tools for effective Fixed Income Portfolio Management
- Loan Portfolio Analysis and Growth Strategies
- Deposit and Funding Solutions
- Bond Accounting Support

Comprehensive Analytics

Our clients benefit from enhanced analytics that help them to effectively manage the various risks within their investment portfolio, while identifying strategic opportunities. These tools include a Dynamic Portfolio Analysis (DPA) that allows us to model virtually any form of "what if" scenario and in real-time display the changes side-by-side versus the original portfolio. This comprehensive analysis provides a clear picture of your investment portfolio's current performance along with the potential to meet your future growth objectives.

Fixed Income Securities Brokerage

First Empire is client-driven, not product-driven. Instead of focusing on our own inventory of securities, our goal is to find the best value for our clients based upon their investment objectives and risk tolerances. Our non-proprietary trading desk consists of seasoned professionals who specialize in each fixed income sector. They maintain extremely strong, long-term relationships with virtually every primary and regional dealer. In some cases, this allows First Empire preferred access to the new issue market. In addition to the new issue market, we have immediate access to hundreds of dealer inventories. This provides a very powerful combination of resources to find the most appropriate investment for your needs. Available investment instruments include:

- Treasuries and Agencies
- Mortgage-Backed Securities (MBSs)
- Collateralized Mortgage Obligations (CMOs)
- Corporate Bonds
- Municipal Bonds
- Negotiable Certificates of Deposit (CDs)

Regulatory Guidance

Our Office of Regulatory Affairs includes former examiners from the National Credit Union Administration (NCUA) and the Office of the Comptroller of the Currency (OCC), as well as the guidance of Charles H. Felker, former Senior Investment Officer of the NCUA. Mr. Felker has over 40 years of consulting and regulatory experience, including having served as the NCUA's representative to the Federal Financial Institution Examination Council (FFIEC) Investment Task Force.

Managing Director of Regulatory Affairs, E. Prescott Ford, CFA, has over 20 years of experience in the regulatory/compliance examination sector, and was a commissioned National Bank Examiner with the OCC. Mr. Ford was a capital markets and credit specialist examining institutions of all sizes across the country, and was awarded recognition by four Assistant/Deputy Comptrollers, including the recipient of the Special Service Award for excellence in bank supervision. He works closely with our Associate Director of Regulatory Affairs, Michael J. Sullivan, who served as a District Examiner for the NCUA. During his term, Mr. Sullivan was responsible for monitoring credit unions to determine changes in their risk profiles, while remaining abreast of new regulatory requirements.

Our experienced team of regulatory professionals works closely with our clients to provide regulatory consultation, examination support, policy reviews, board presentations, regulatory updates and educational seminars.

Investment Product and Market Education

With decades of experience in the bond market, we have developed valuable resources for product and market education. Our Continuing Professional Education (CPE) program offers training sessions on each fixed income asset class, along with their inherent risks and attributes. We invite you to attend these customized CPE programs in our corporate headquarters in New York, where we tailor the agenda to meet your specific criteria. Choose from a comprehensive list of topics such as Fixed Income Portfolio Management, Portfolio Analysis, Balance Sheet Strategies as well as product specific education.

*Balance Sheet Management Services, Inc., LPC Services, Inc. and First Empire CD Management, Inc. are not members of FINRA or SIPC. First Empire Securities, Inc. is solely a member of FINRA and SIPC. The information contained in this document has been obtained from sources we believe to be reliable. However, we do not guarantee it is accurate or complete. This information is subject to change without notice. This document is not, and should not be construed as, an offer to buy or sell any security or securities.

With a vast amount of resources from our affiliated companies, and our in-depth expertise of the industry, we are able to provide a broad range of products and services to our clients. That's why, at First Empire, we are continually investing in solutions.

Our Affiliated Companies



Balance Sheet Management Services, Inc.

Balance Sheet Management Services provides comprehensive analytics and consulting services to institutions nationwide. Our goal is to understand your long-term growth objectives and recommend tailored solutions to meet your growing balance sheet management and regulatory requirements.

Our services go beyond providing reports; we take the time to discuss results, and identify opportunities that can be incorporated into your overall strategic plan. Even if you produce your own reports, we can review them and provide alternative solutions to help you achieve your strategic goals.

LPC Services, Inc.

LPC Services works with depository institutions nationwide on strategies to enhance the performance of their loan portfolios and mitigate risks to their balance sheets. We are a full-service organization that offers over a decade of experience, and access to a nationwide network, to assist financial institutions with loan portfolio solutions. Our unique programs, such as Loan Participations and Credit Card Alliance, provide greater opportunities to serve your customers and diversify your loan portfolio, while reducing interest rate and credit risk. These programs provide tools to help you price or sell your loans or credit card receivables, as well as buy or participate in loans.

LPC Services' in-depth understanding of institutional accounts is unsurpassed. We have worked with thousands of credit unions and banks nationwide for over a decade. This vast network gives us the expertise and contacts needed to source buyers and sellers of loan portfolios.

First Empire CD Management, Inc.

First Empire CD Management has been a leading partner in providing institutions with a reliable source for federally insured non-negotiable certificates of deposit (CDs) for over two decades. We are dedicated to assisting CD investors and facilitating CD funding for institutions, while possessing the resources to assist you in acquiring as much funding as you need, as quickly as you need it, at the lowest possible cost.

We offer valuable strategies to help our clients utilize the national CD marketplace, and provide solutions to optimize their portfolio. Additionally, we specialize in fixed and variable rate CDs with maturities of one year or more which can be tailored to meet your institution's needs. Our national network of institutions provides a value-added resource, and assists investors in locating CDs that meet their specific parameters.

We listen and take the time to learn and understand your needs. Our firm believes in investing in long-term relationships, and becoming a trusted partner you can count on for years.

Balance Sheet Management Services, Inc., LPC Services, Inc. and First Empire CD Management are not members of FINRA or SIPC. First Empire Securities, Inc. is solely a member of FINRA and SIPC.

BALANCE SHEET MANAGEMENT SERVICES

Solutions for Growth

At Balance Sheet Management Services, we provide comprehensive analytics and consulting services to institutions nationwide. These services, which our clients use to actively manage their balance sheet, focus on earnings, capital, liquidity, interest rate risk management, the economic value of equity, gap matrix, strategic planning consultation and board education. Many depository institutions even use our user-friendly ALM document for board education and compliance.

Our goal is to provide the necessary financial insight you require to incorporate into your overall strategic plan. This enables our clients to develop strategies they can customize to meet the needs of their institution. Additionally, by tapping into the expertise of our seasoned team of analysts, our clients can uncover ideas on how to maximize opportunities and minimize challenges faced by their institution.

Asset Liability Management

Our ALM model is one of the most comprehensive in the industry. While many depository institutions use their ALM report only for regulatory compliance purposes, our user friendly documents are specifically designed to help effectively manage the balance sheet. In addition to the ALM documents, we provide each institution with customized support and strategic insight from our seasoned team of industry professionals. Our consultative approach includes a detailed discussion of the inputs, assumptions and results, along with emphasis on the potential risks and opportunities.

At Balance Sheet Management Services, we provide a superior document which includes a historical "peer comparison" of your primary operating and income ratios. We include a capital utilization analysis including potential growth and leverage forecasts, with detail of the maximum regulatory asset growth and the potential impact on net income, ROA, ROE, dividends and tax implications. The liquidity management analysis is a historical comparison of deposit and loan growth, a ten year projection of rate-shocked investment portfolio cash flow, plus a comprehensive liquidity calculation. Our interest risk management analytics includes a three-year income simulation under up and down rate-shock scenarios as well as an economic value rate-shock analysis that details the fair value appraisal of all assets, liabilities and capital.

Investment Accounting Services

We also provide access to comprehensive portfolio accounting services. Once the initial portfolio is complete, the only required maintenance is updated confirmations on any new transactions. Monthly reports and data files can be downloaded (in most cases within twenty-four hours), for quick turnaround. Additionally, on a monthly basis, we provide portfolio distribution and maturity reports, independent market value pricing, premium, discount, amortization and accretion reports, ASC 320 accounting reports, interest accrual reports, 24-month cash flow and budget projections, transaction, safekeeping, and pledging reports, and ABS, MBS, and CMO information and prepayment reports.

As part of our quarterly services, we include market commentary and interest rate history reports, portfolio distribution, yield, duration graphs, interest rate risk analysis and rate shock of portfolio reports. Annually, we can generate a year-end portfolio recap for your review.

Investment Portfolio Analysis

Our portfolio analytics team identifies potential risks and opportunities through analytical software. Whether the strategy is to outperform an internal or industry benchmark, maintain a favorable spread to liabilities, understand an examiner's position, or identify strengths, weaknesses, threats and opportunities, our portfolio analytics team assists our clients in optimally managing their investment portfolios.

Strategic Investment Portfolio Planning

Our goal is to understand your institution's short and long-term growth objectives so we can recommend solutions tailored to your needs. Based on the results of our investment portfolio analytics, which provides a comprehensive measurement of your institution's portfolio, we will offer qualified recommendations. Our team of experts will assist you in developing strategic investment plans customized for your institution. By assessing your portfolio's sustainability against the present market environment, we partner with your institution to assist you in meeting your financial goals, while providing outstanding service to your customers.

Policy Reviews and Revisions

We are committed to providing institutions with an in-depth understanding of their investment policies, placing emphasis on the available investment opportunities, regulations, examiner decisions, and cash flow monitoring tools needed to remain competitive in today's volatile market environment. Our Office of Regulatory Affairs assists with the review, revision, and creation of a number of policies including, Interest Rate Risk and Asset Liability Management Policy, Liquidity Management and Contingency Funding Policy, Investment Policy, Concentration Risk Policy and Loan Participation Policy. We also assist institutions in establishing Risk Limits and ALCO Reporting, as well as examination support and examination concern resolution.

Regulatory Updates and Educational Seminars

In today's changing regulatory environment, it is more critical than ever to ensure regulatory awareness and education of institutions. We are dedicated to this effort and provide services which include: regulatory updates to aid in your compliance process, commentaries on proposed regulations and their effect on your institution's investment policy, and the coordination of educational seminars based on your specific requirements.

Board, ALCO and Senior Management

We offer your institution customized presentations on various topics, including regulations and interpretive rulings, based on market conditions. By utilizing user-friendly charts and graphs, we make communicating with your board and ALCO easy and informative. We also offer balance sheet management education to meet the financial literacy requirements for board directors.

The information in this document has been obtained from sources we believe to be reliable. However, we do not guarantee it is accurate or complete. Balance Sheet Management Services does not provide investment advice. Past performance is no guarantee of future performance or results. This information is subject to change without notice. This document is not, and should not be construed as, an offer or solicitation to buy or sell any security or securities. Balance Sheet Management Services, Inc. is not a member of FINRA or SIPC.

LPC SERVICES

Loan Portfolio Solutions

LPC Services was developed to address numerous requests we received from clients over the years seeking assistance in entering the loan participation market. Since our inception over a decade ago, we have worked with financial institutions on strategies that enhance the performance of their loan portfolios, and mitigate risks to their balance sheets. With years of experience, and a nationwide network of financial institutions, we provide our clients with an in-depth understanding of the issues affecting institution's loan portfolios.

Our in-depth understanding of institutional accounts is unsurpassed, as we are continually looking for strategies to enhance your loan portfolio. We have worked with thousands of credit unions and banks nationwide. This vast network provides us with the expertise and contacts needed to source buyers and sellers of loan portfolios.

Loan Participation Program

At LPC Services, we provide our clients with valuable tools to more effectively manage their balance sheets and loan portfolios. We utilize a customized approach to providing loan solutions for depository institutions nationwide. As institutions are seeking opportunities to fund loans, meet customer demand, reduce interest rate risk, increase earnings, and diversify their loan portfolios, we developed a process to facilitate the search for partnerships that meet our clients' criteria for long-term growth.

Our Loan Participation Program matches buyers and sellers, providing both with several key benefits. Since the local market is limited in scope and may not be able to fully accommodate community depository institutions, our expanded national reach allows us to identify and offer cost effective solutions for both buyers and sellers.

For buyers, this program will assist in providing additional avenues to diversify loan product and concentration risks, establishing new borrower relationships, and offering the ability to grow loans outside of your geographic limitation. We provide the resources to assess, as well as present and determine, the value of loan participations in your balance sheet.

For the seller, we are able to present your loans to a nationwide network of hundreds of qualified clients/buyers with a click of the mouse. With our in-depth knowledge, we can target market your loan participation package with extreme efficiency to those most likely to be your participants.

Our services include:

- Assistance in funding loan projects outside of your legal lending limits
- The ability to offer additional loans to your customers to maintain loyalty
- An outlet to mitigate your concentration risk in a product type or geographical area
- An alternative to high borrowing rates

Credit Card Alliance Program

Although the credit card portfolio is often perceived as the highest-yielding asset on your balance sheet, an in-depth analysis often demonstrates that the effective yield is quite a bit lower than the gross yield. That, coupled with the compliance expense, finance charge, and fee restrictions mandated by the Credit Card Reform Act of 2009, has led many credit unions to take another look at the risks and rewards of their portfolio. During the past three years, LPC Services has performed a credit card analysis for a large number of credit unions around the United States. This has proven to be a very useful document that you can share with your ALCO and board.

Our Credit Card Alliance Program provides clients with the following valued-added benefits:

- Keeping your name on cards, monthly statements and marketing pieces
- Enhanced rewards and platinum card programs
- Transferring credit, fraud and interest rate risk to the buyer
- 24/7/365 customer service & website access for your customers
- Potential increased credit limits
- Improved customer retention
- Increased credit card marketing to existing and potential customers at no cost
- Ongoing, monthly sharing of interchange and finance income
- Eliminating related overhead expenses
- Contractual prohibition for partners to cross-sell to their customers
- Receive an incentive for all new accounts opened
- Compliance expense and potential liability related to the Credit Card Reform Act are transferred to the buyer

Our LPC specialists will review your current program and discuss with you relevant aspects of your credit card business, such as growth strategies, competition, fraud, credit risk and expenses. We will provide a risk-adjusted profitability analysis and valuation along with a strategy for evaluating your long-term options.

FIRST EMPIRE CD MANAGEMENT

Growth Strategies

First Empire CD Management, Inc. has been a leading partner in providing institutions with a reliable source for non-negotiable certificates of deposit for over two decades. We offer value-added strategies to help our clients utilize the national network of CD institutions to optimize their investment portfolio.

Our team of experienced professionals is dedicated to assisting CD investors and facilitating CD funding for institutions. We specialize in fixed and variable rate CDs with maturities of one year or more, while managing an inventory of rates suitable for short-term or long-term objectives. Our goal is to continuously strive to negotiate rates that will complement investment portfolios of any size, and tailor maturities that meet your institution's specific requirements.

CD Funding Assistance

At First Empire CD Management, Inc., we are dedicated to assisting your institution to maximize CD funding. By making use of our vast network of institutions, we partner with our clients to locate depositors that meet your institution's specific needs, while striving to ensure your cost never exceeds the negotiated rate of interest.

Depositors are instructed to wire funds directly to your institution, along with their complete return wiring instructions. Additionally, we supply written confirmation to your institution, and each respective depositor, noting the length and term of the certificate, as well as the rate of interest being paid. These return rates and terms are predetermined by you; and once an estimate of the amount of funds your institution needs is obtained, we will complete all necessary processing quickly and efficiently.

CD Investing Assistance

Our financial professionals are constantly working for you, targeting the CD's that meet your institution's specific needs. In addition, we provide the following value-added client services:

- Direct wiring instructions to the issuing institution
- Assistance in receiving monthly interest checks
- Advice on all maturities

As a leading partner in finding funds for institutions, we have the resources to assist you with acquiring as much funding as you need, as quickly as you need it, at the lowest possible cost.

Funding Strategies

When deposits are needed, your first preference may be to accept local deposits. However, there are times when these deposits are not available and loan commitments must be met. First Empire CD Management is a reliable funding alternative to utilize at your convenience.

A key benefit to our program is that you are in complete control of your investment decisions. We refer corporate and institutional depositors to you, which can be an immediate source of funds. The majority of our funding requests fall in the one-to-five-year range, which eliminates having short-term or volatile deposits on the books. Upon maturity, you will determine if the funds stay on deposit; and if your loan demand still exists, we can negotiate a renewal rate. If not, we will redirect the deposit to another institution.

What does it cost? Our two main funding methods include:

- Direct rates: No fee is paid by the issuer - The cost of funds is slightly higher, but no referral fee is charged (investor pays fee)
- Brokered (Net) Rates: Fee is paid by the issuer - This allows your institution to tap into a less rate-sensitive pool of investors

How are CD rates determined? In order for our program to be successful, we need to be competitive with the treasury market. Typically, we add a spread of 60-to-80 basis points above similar-term treasury yields. This spread varies depending on current market conditions, and volume of funding needed.

Once you decide how much money is required, and the terms and rates you want to offer, we can begin referring our clients to you after order placement. Each time we obtain a commitment, we provide the depositor with your wiring instructions and a detailed confirmation to your institution with all the information needed to set up the CD. CDs can be issued once the wire is received. All paperwork and interest checks are sent directly to the depositor.

Investor Strategies

At First Empire CD Management we strive to negotiate rates that complement investment portfolios of any size, while managing an inventory of rates suitable for short and long-term objectives.

The most popular reasons to invest in CDs are:

- They offer higher yields than similar term treasuries
- The FDIC insures principal and interest up to the FDIC limit
- Their wide range of maturities enables you to structure a portfolio that offers liquidity
- They pay interest monthly

You can purchase CDs through our program on a net or gross basis:

- Net Rates: This service can be utilized at no cost to the depositor
 - The issuing bank pays our referral fee
- Direct Rates: The bank will pay a higher rate on the investment if the investor pays the referral fee

The information contained in this document has been obtained from sources we believe to be reliable. However, we do not guarantee it is accurate or complete. This information is subject to change without notice. This document is not, and should not be construed as, an offer to buy or sell any security or securities. First Empire CD Management, Inc. is not a member of FINRA or SIPC.



Contact Us

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Toll Free: 800.645.5424
Tel: 631.979.0097
Fax: 631.979.0448

www.1empire.com
Email: clientrelations@1empire.com

Municipality References in Texas

December 13, 2012

Provided to: City of Taylor

Requested by: Brian Jamison, Vice President-Fixed Income Strategies

City of Copperas Cove

Copperas Cove, TX
Mrs. Velia Key, Finance Director
254-547-4221

Lampasas County

Lampasas, TX
Mr. Jack Clark, County Auditor
512-556-0522

Texas Regional Mobility Authority

Austin, TX
Mr. Bill Chapman, CFO
512-996-9778

City of Huntsville

Huntsville, TX
Ms. Rachel Smith, Accountant
936-291-5440

If you would like to be removed from our contact list, please call Customer Service at 800-645-5424. The information contained in this document has been obtained from sources we believe to be reliable. However, we do not guarantee it is accurate or complete. This information is subject to change without notice. This document is not and should not be construed as an offer to buy or sell any security or securities. First Empire Securities, Inc. is a member of FINRA/SIPC.

**BRIAN G. JAMISON**

CRD# 1882287

[GET DETAILED REPORT](#)**Currently employed by and registered with the following FINRA Firm(s):****FIRST EMPIRE SECURITIES, INC.**

100 MOTOR PARKWAY

HAUPPAUGE, NY 11788

CRD# 15129

Registered with this firm since: 5/25/2012

Report Summary for this Broker

This report summary provides an overview of the broker's professional background and conduct. Additional information can be found in the detailed report.

Broker Qualifications

This broker is registered with:

- 1 Self-Regulatory Organization
- 16 U.S. states and territories

Is this broker currently suspended or inactive with any regulator? **No**

This broker has passed:

- 1 Principal/Supervisory Exam
- 2 General Industry/Product Exams
- 1 State Securities Law Exam

Registration History

This broker was previously registered with FINRA at the following brokerage firms:

MORGAN KEEGAN & COMPANY, INC.

CRD# 4161

NEW YORK, NY

06/2009 - 04/2012

J.P.MORGAN SECURITIES INC.

CRD# 79

NEW YORK, NY

10/2008 - 12/2008

J.P. MORGAN SECURITIES INC.

CRD# 18718

NEW YORK, NY

05/2001 - 10/2008

Disclosure Events

Disclosure events are certain criminal matters; regulatory actions; civil judicial proceedings; customer complaints, arbitrations, or civil litigations; employment terminations; and financial matters in which the broker has been involved.

Are there events disclosed about this broker? **No**

BrokerCheck Report

FIRST EMPIRE SECURITIES, INC.

CRD# 15129

Report #23108-54231, data current as of Wednesday, July 03, 2013.

<u>Section Title</u>	<u>Page(s)</u>
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Firm Profile	2 - 6
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About BrokerCheck®



BrokerCheck offers information on all current and many former-FINRA-registered securities brokers, and all current and former FINRA-registered securities firms. FINRA strongly encourages investors to use BrokerCheck to check the background of securities brokers and brokerage firms before deciding to conduct, or continue to conduct, business with them.

- **What is included in a BrokerCheck report?**

BrokerCheck reports for individual brokers include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards. BrokerCheck reports for brokerage firms include information on a firm's profile, history, and operations, as well as many of the same disclosure events mentioned above.

Please note that the information contained in a BrokerCheck report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the broker or brokerage firm, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

- **Where did this information come from?**

The information contained in BrokerCheck comes from FINRA's Central Registration Depository, or CRD® and is a combination of:

- information FINRA and/or the Securities and Exchange Commission (SEC) require brokers and brokerage firms to submit as part of the registration and licensing process, and
- information that regulators report regarding disciplinary actions or allegations against firms or brokers.

- **How current is this information?**

Generally, active brokerage firms and brokers are required to update their professional and disciplinary information in CRD within 30 days. Under most circumstances, information reported by brokerage firms, brokers and regulators is available in BrokerCheck the next business day.

- **What if I want to check the background of an investment adviser firm or investment adviser representative?**

To check the background of an investment adviser firm or representative, you can search for the firm or individual in BrokerCheck. If your search is successful, click on the link provided to view the available licensing and registration information in the SEC's Investment Adviser Public Disclosure (IAPD) website at <http://www.adviserinfo.sec.gov>. In the alternative, you may search the IAPD website directly or contact your state securities regulator at <http://www.nasaa.org>.

- **Are there other resources I can use to check the background of investment professionals?**

FINRA recommends that you learn as much as possible about an investment professional before deciding to work with them. Your state securities regulator can help you research brokers and investment adviser representatives doing business in your state.



Using this site/information means that you accept the FINRA BrokerCheck Terms and Conditions. A complete list of Terms and Conditions can be found at

brokercheck.finra.org



For additional information about the contents of this report, please refer to the User Guidance or www.finra.org/brokercheck. It provides a glossary of terms and a list of frequently asked questions, as well as additional resources.

For more information about FINRA, visit www.finra.org.

Thank you for using FINRA BrokerCheck.



FIRST EMPIRE SECURITIES, INC.

CRD# 15129

SEC# 8-31701

Main Office Location

100 MOTOR PARKWAY
HAUPPAUGE, NY 11788
Regulated by FINRA Long Island Office

Mailing Address

100 MOTOR PARKWAY, SECOND FLOOR
HAUPPAUGE, NY 11788

Business Telephone Number

631-979-0097

Report Summary for this Firm

This report summary provides an overview of the brokerage firm. Additional information for this firm can be found in the detailed report.

Firm Profile

This firm is classified as a corporation.

This firm was formed in New York on 04/03/1984.

Its fiscal year ends in December.

Firm History

Information relating to the brokerage firm's history such as other business names and successions (e.g., mergers, acquisitions) can be found in the detailed report.

Firm Operations

This firm is registered with:

- the SEC
- 1 Self-Regulatory Organization
- 52 U.S. states and territories

Is this brokerage firm currently suspended with any regulator? **No**

This firm conducts 11 types of businesses.

This firm is affiliated with financial or investment institutions.

This firm has referral or financial arrangements with other brokers or dealers.

Disclosure Events

Disclosure events are certain criminal matters, regulatory actions, civil judicial proceedings, and financial matters in which the brokerage firm or one of its control affiliates has been involved.

Are there events disclosed about this firm? **Yes**

The following types of disclosures have been reported:

Type	Count
Regulatory Event	1

Firm Profile

This firm is classified as a corporation.

This firm was formed in New York on 04/03/1984.

Its fiscal year ends in December.



Firm Names and Locations

This section provides the brokerage firm's full legal name, "Doing Business As" name, business and mailing addresses, telephone number, and any other name by which the firm conducts business and where such name is used.

FIRST EMPIRE SECURITIES, INC.

Doing business as FIRST EMPIRE SECURITIES, INC.

CRD# 15129

SEC# 8-31701

Main Office Location

100 MOTOR PARKWAY
HAUPPAUGE, NY 11788

Regulated by FINRA Long Island Office

Mailing Address

100 MOTOR PARKWAY, SECOND FLOOR
HAUPPAUGE, NY 11788

Business Telephone Number

631-979-0097



Firm Profile

This section provides information relating to all direct owners and executive officers of the brokerage firm.

Direct Owners and Executive Officers

Legal Name & CRD# (if any): FIRST EMPIRE HOLDING CORP.

Is this a domestic or foreign entity or an individual? Domestic Entity

Position OWNER

Position Start Date 01/2011

Percentage of Ownership 75% or more

Does this owner direct the management or policies of the firm? Yes

Is this a public reporting company? No

Legal Name & CRD# (if any): ACCOLLA, GINA MARIE
2564494

Is this a domestic or foreign entity or an individual? Individual

Position CHIEF COMPLIANCE OFFICER

Position Start Date 12/1994

Percentage of Ownership Less than 5%

Does this owner direct the management or policies of the firm? No

Is this a public reporting company? No

Legal Name & CRD# (if any): BELFIORE, MICHAEL ROBERT
1235583

Is this a domestic or foreign entity or an individual? Individual

Position PRESIDENT

Position Start Date 04/1984

Firm Profile



Direct Owners and Executive Officers (continued)

Percentage of Ownership Less than 5%

Does this owner direct the management or policies of the firm? Yes

Is this a public reporting company? No

Legal Name & CRD# (if any): BHOLAN, LENA N
2809864

Is this a domestic or foreign entity or an individual? Individual

Position FINANCIAL PRINCIPAL

Position Start Date 02/2008

Percentage of Ownership Less than 5%

Does this owner direct the management or policies of the firm? No

Is this a public reporting company? No

Legal Name & CRD# (if any): FOLEY, JOHN PATRICK JR
1271502

Is this a domestic or foreign entity or an individual? Individual

Position NATIONAL SALES MANAGER/MUNICIPAL SECURITIES PRINCIPAL

Position Start Date 04/1984

Percentage of Ownership Less than 5%

Does this owner direct the management or policies of the firm? No

Is this a public reporting company? No

Legal Name & CRD# (if any): GEIGER, THOMAS PATRICK

Firm Profile**Direct Owners and Executive Officers (continued)**

1155002

Is this a domestic or foreign entity or an individual? Individual

Position REGISTERED OPTIONS PRINCIPAL

Position Start Date 05/1990

Percentage of Ownership Less than 5%

Does this owner direct the management or policies of the firm? No

Is this a public reporting company? No

Legal Name & CRD# (if any): RELLA, STEPHANIE
2261487

Is this a domestic or foreign entity or an individual? Individual

Position VICE PRESIDENT

Position Start Date 04/1994

Percentage of Ownership Less than 5%

Does this owner direct the management or policies of the firm? No

Is this a public reporting company? No

Firm Profile

This section provides information relating to any indirect owners of the brokerage firm.



Indirect Owners

Legal Name & CRD# (if any):	FIRST EMPIRE HOLDING CORP. EMPLOYEE STOCK OWNERSHIP TRUST
Is this a domestic or foreign entity or an individual?	Domestic Entity
Company through which indirect ownership is established	FIRST EMPIRE HOLDING CORP.
Relationship to Direct Owner	ESOP/OWNER
Relationship Established	12/2012
Percentage of Ownership	75% or more
Does this owner direct the management or policies of the firm?	Yes
Is this a public reporting company?	No

Legal Name & CRD# (if any):	FIRST BANKERS TRUST SERVICES, INC.
Is this a domestic or foreign entity or an individual?	Domestic Entity
Company through which indirect ownership is established	FIRST EMPIRE HOLDING CORP.
Relationship to Direct Owner	TRUSTEE
Relationship Established	12/2012
Percentage of Ownership	Other General Partners
Does this owner direct the management or policies of the firm?	No
Is this a public reporting company?	No

Firm History

This section provides information relating to any successions (e.g., mergers, acquisitions) involving the firm.

No information reported.



Firm Operations

Registrations

This section provides information about the regulators (Securities and Exchange Commission (SEC), self-regulatory organizations (SROs), and U.S. states and territories) with which the brokerage firm is currently registered and licensed, the date the registration became effective, and certain information about the firm's SEC registration.

This firm is currently registered with the SEC, 1 SRO and 52 U.S. states and territories.

Federal Regulator	Status	Date Effective
SEC	Approved	05/25/1984

SEC Registration Questions

This firm is registered with the SEC as:

A broker-dealer: Yes

A broker-dealer and government securities broker or dealer: Yes

A government securities broker or dealer only: No

This firm has ceased activity as a government securities broker or dealer: No

Self-Regulatory Organization	Status	Date Effective
FINRA	Approved	06/03/1986



Firm Operations



Registrations (continued)

U.S. States & Territories	Status	Date Effective
Alabama	Approved	05/15/1991
Alaska	Approved	11/28/1990
Arizona	Approved	12/03/1991
Arkansas	Approved	10/04/1991
California	Approved	05/11/1990
Colorado	Approved	07/25/1986
Connecticut	Approved	07/17/1990
Delaware	Approved	06/25/1986
District of Columbia	Approved	05/04/1990
Florida	Approved	07/25/1990
Georgia	Approved	05/14/1990
Hawaii	Approved	06/18/1990
Idaho	Approved	06/11/1991
Illinois	Approved	06/25/1990
Indiana	Approved	07/25/1990
Iowa	Approved	05/31/1990
Kansas	Approved	06/13/1990
Kentucky	Approved	04/03/1990
Louisiana	Approved	06/24/1991
Maine	Approved	12/09/1991
Maryland	Approved	04/10/1990
Massachusetts	Approved	06/19/1990
Michigan	Approved	07/20/1990
Minnesota	Approved	07/30/1990
Mississippi	Approved	05/07/1991
Missouri	Approved	01/30/1991
Montana	Approved	05/01/1991
Nebraska	Approved	05/14/1991
Nevada	Approved	04/30/1991
New Hampshire	Approved	12/06/1990
New Jersey	Approved	11/05/1990
New Mexico	Approved	07/30/1991
New York	Approved	05/09/1984

U.S. States & Territories	Status	Date Effective
North Carolina	Approved	06/24/1991
North Dakota	Approved	06/12/1991
Ohio	Approved	02/27/1991
Oklahoma	Approved	04/06/1990
Oregon	Approved	11/26/1990
Pennsylvania	Approved	07/12/1990
Puerto Rico	Approved	07/16/1992
Rhode Island	Approved	11/08/1990
South Carolina	Approved	04/24/1990
South Dakota	Approved	04/25/1990
Tennessee	Approved	04/18/1990
Texas	Approved	10/10/1990
Utah	Approved	07/18/1990
Vermont	Approved	04/24/1990
Virginia	Approved	05/10/1990
Washington	Approved	02/01/1991
West Virginia	Approved	04/16/1990
Wisconsin	Approved	07/19/1990
Wyoming	Approved	11/13/1990



Firm Operations

Types of Business

This section provides the types of business, including non-securities business, the brokerage firm is engaged in or expects to be engaged in.

This firm currently conducts 11 types of businesses.

Types of Business

Broker or dealer retailing corporate equity securities over-the-counter

Broker or dealer selling corporate debt securities

Underwriter or selling group participant (corporate securities other than mutual funds)

Mutual fund retailer

U S. government securities dealer

U S. government securities broker

Municipal securities dealer

Municipal securities broker

Put and call broker or dealer or option writer

Trading securities for own account

Other

Other Types of Business

This firm does not affect transactions in commodities, commodity futures, or commodity options.

This firm does not engage in other non-securities business.

Non-Securities Business Description:

Firm Operations



Clearing Arrangements

This firm does not hold or maintain funds or securities or provide clearing services for other broker-dealer(s).

Introducing Arrangements

This firm does refer or introduce customers to other brokers and dealers.

Name:	PERSHING LLC
CRD #:	7560
Business Address:	ONE PERSHING PLAZA JERSEY CITY, NJ 07399
Effective Date:	09/15/2003
Description:	BANK OF NEW YORK ACQUIRED PERSHING LLC. PERSHING LLC HAS SUCCEEDED BNY CLEARING SERVICES LLC AS OUR CLEARING AGENT.

Firm Operations**Industry Arrangements**

This firm does have books or records maintained by a third party.

Name: PERSHING LLC
CRD #: 7560
Business Address: ONE PERSHING PLAZA
JERSEY CITY, NJ 07399
Effective Date: 09/15/2003
Description: BANK OF NEW YORK ACQUIRED PERSHING LLC. PERSHING LLC HAS
SUCCEEDED BNY CLEARING SERVICES LLC AS OUR CLEARING AGENT.

This firm does have accounts, funds, or securities maintained by a third party.

Name: OPTIONSXPRESS, INC.
CRD #: 103849
Business Address: 311 W. MONROE STREET, SUITE 1000
CHICAGO, IL 60606
Effective Date: 02/06/2008
Description: FIRM PROPRIETARY INVESTMENT ACCOUNT OPENED AT
OPTIONSXPRESS.

Name: PERSHING LLC
CRD #: 7560
Business Address: ONE PERSHING PLAZA
JERSEY CITY, NJ 07399
Effective Date: 09/15/2003
Description: BANK OF NEW YORK ACQUIRED PERSHING LLC. PERSHING LLC HAS
SUCCEEDED BNY CLEARING SERVICES LLC AS OUR CLEARING AGENT.

This firm does have customer accounts, funds, or securities maintained by a third party.

Name: PERSHING LLC
CRD #: 7560
Business Address: ONE PERSHING PLAZA
JERSEY CITY, NJ 07399
Effective Date: 09/15/2003
Description: BANK OF NEW YORK ACQUIRED PERSHING LLC. PERSHING LLC HAS

Firm Operations

Industry Arrangements (continued)

SUCCEEDED BNY CLEARING SERVICES LLC AS OUR CLEARING AGENT.

Control Persons/Financing

This firm does not have individuals who control its management or policies through agreement.

This firm does not have individuals who wholly or partly finance the firm's business.



Firm Operations



Organization Affiliates

This section provides information on control relationships the firm has with other firms in the securities, investment advisory, or banking business.

This firm is, directly or indirectly:

- in control of
 - controlled by
 - or under common control with
- the following partnerships, corporations, or other organizations engaged in the securities or investment advisory business.

KMM, CAPITAL, INC. is under common control with the firm.

Business Address:	100 MOTOR PARKWAY HAUPPAUGE, NY 11788
Effective Date:	04/23/2012
Foreign Entity:	No
Country:	
Securities Activities:	No
Investment Advisory Activities:	No
Description:	THE OWNER OF FIRST EMPIRE SECURITIES, INC. OWNS KMM CAPITAL, INC.

FIRST EMPIRE ASSET MANAGEMENT, INC is under common control with the firm.

CRD #:	134411
Business Address:	100 MOTOR PARKWAY 2ND FLOOR HAUPPAUGE, NY 11788
Effective Date:	08/19/2008
Foreign Entity:	No
Country:	
Securities Activities:	No
Investment Advisory Activities:	Yes
Description:	THE OWNER OF FIRST EMPIRE SECURITIES, INC. IS ALSO THE OWNER OF FIRST EMPIRE ASSET MANAGEMENT, INC.

**Organization Affiliates (continued)**

BALANCE SHEET MANAGEMENT & CONSULTING SERVICES, INC. is under common control with the firm.

Business Address: 100 MOTOR PARKWAY
2ND FLOOR
HAUPPAUGE, NY 11788

Effective Date: 05/25/2000

Foreign Entity: No

Country:

Securities Activities: No

Investment Advisory Activities: No

Description: THE OWNER OF FIRST EMPIRE SECURITIES, INC. OWNS BALANCE SHEET MANAGEMENT & CONSULTING SERVICES, INC.

LPC SERVICES, INC. is under common control with the firm.

Business Address: 100 MOTOR PARKWAY
2ND FLOOR
HAUPPAUGE, NY 11788

Effective Date: 02/14/2000

Foreign Entity: No

Country:

Securities Activities: No

Investment Advisory Activities: No

Description: THE OWNER OF FIRST EMPIRE SECURITIES, INC. OWNS LPC SERVICES, INC.

FIRST EMPIRE CD MANAGEMENT, INC. is under common control with the firm.

Business Address: 100 MOTOR PARKWAY
2ND FLOOR
HAUPPAUGE, NY 11788

Effective Date: 04/03/1984

Foreign Entity: No

Country:



Organization Affiliates (continued)

Securities Activities: No

Investment Advisory Activities: No

Description: OWNER OF FIRST EMPIRE SECURITIES, INC. IS ALSO THE OWNER OF FIRST EMPIRE CD MANAGEMENT, INC.

This firm is not directly or indirectly, controlled by the following:

- bank holding company
- national bank
- state member bank of the Federal Reserve System
- state non-member bank
- savings bank or association
- credit union
- or foreign bank

Disclosure Events



Disclosure events are certain criminal matters, regulatory actions, civil judicial proceedings, and financial matters in which the brokerage firm or one of its control affiliates has been involved. For your convenience, below is a matrix of the number and status of disclosure events involving this brokerage firm or one of its control affiliates. Further information regarding these disclosure events can be found in the subsequent pages of this report.

	Pending	Final	On Appeal
Regulatory Event	0	1	0



Disclosure Event Details

What you should know about reported disclosure events:

1. **BrokerCheck provides details for any disclosure event that was reported in CRD. It also includes summary information regarding FINRA arbitration awards in cases where the brokerage firm was named as a respondent.**
2. **Certain thresholds must be met before an event is reported to CRD, for example:**
 - A law enforcement agency must file formal charges before a brokerage firm is required to report a particular criminal event.
3. **Disclosure events in BrokerCheck reports come from different sources:**
 - Disclosure events for this brokerage firm were reported by the firm and/or regulators. When the firm and a regulator report information for the same disclosure event, both versions of the event will appear in the BrokerCheck report. The different versions will be separated by a solid line with the reporting source labeled.
4. **There are different statuses and dispositions for disclosure events:**
 - A disclosure event may have a status of *pending*, *on appeal*, or *final*.
 - A "pending" disclosure event involves allegations that have not been proven or formally adjudicated.
 - A disclosure event that is "on appeal" involves allegations that have been adjudicated but are currently being appealed.
 - A "final" disclosure event has been concluded and its resolution is not subject to change.
 - A final disclosure event generally has a disposition of *adjudicated*, *settled* or *otherwise resolved*.
 - An "adjudicated" matter includes a disposition by (1) a court of law in a criminal or civil matter, or (2) an administrative panel in an action brought by a regulator that is contested by the party charged with some alleged wrongdoing.
 - A "settled" matter generally represents a disposition wherein the parties involved in a dispute reach an agreement to resolve the matter. Please note that firms may choose to settle customer disputes or regulatory matters for business or other reasons.
 - A "resolved" matter usually includes a disposition wherein no payment is made to the customer or there is no finding of wrongdoing on the part of the individual broker. Such matters generally involve customer disputes.
5. **You may wish to contact the brokerage firm to obtain further information regarding any of the disclosure events contained in this BrokerCheck report.**

Regulatory - Final

This type of disclosure event involves (1) a final, formal proceeding initiated by a regulatory authority (e.g., a state securities agency, self-regulatory organization, federal regulator such as the U.S. Securities and Exchange Commission, foreign financial regulatory body) for a violation of investment-related rules or regulations; or (2) a revocation or suspension of the authority of a brokerage firm or its control affiliate to act as an attorney, accountant or federal contractor.

Disclosure 1 of 1

Reporting Source: Regulator



Current Status: Final
Allegations:
Initiated By: NATIONAL ASSOCIATION OF SECURITIES DEALERS, INC.
Date Initiated: 12/22/1994
Docket/Case Number: C10940063
Principal Product Type:
Other Product Type(s):
Principal Sanction(s)/Relief Sought:
Other Sanction(s)/Relief Sought:
Resolution: Consent
Resolution Date: 12/22/1994
Sanctions Ordered: Censure
 Monetary/Fine \$40,000.00
Other Sanctions Ordered:
Sanction Details:
Summary: ON DECEMBER 22, 1994, DISTRICT NO. 10 NOTIFIED FIRST EMPIRE SECURITIES, INC. AND MICHAEL BELFIORE THAT THE LETTER OF ACCEPTANCE, WAIVER AND CONSENT NO. C10940063 WAS ACCEPTED; THEREFORE, THEY ARE CENSURED AND FINED \$40,000, JOINTLY AND SEVERALLY - (ARTICLE III, SECTIONS 1 AND 21 OF THE RULES OF FAIR PRACTICE AND SEC RULE 17a-3(a)(2) AND 17a-3(a)(11) - RESPONDENT MEMBER, ACTING THROUGH RESPONDENT BELFIORE, FAILED TO PREPARE ACCURATE BOOKS AND RECORDS; CONDUCTED A SECURITIES BUSINESS WHILE FAILING TO MAINTAIN ITS MINIMUM REQUIRED NET CAPITAL; AND, IN THREE TRANSACTIONS, SOLD GOVERNMENT AGENCY SECURITIES TO THREE CUSTOMERS AT PRICES WHICH WERE NOT AS FAVORABLE AS POSSIBLE UNDER THE PREVAILING MARKET CONDITIONS).

\$40,000.00 FULLY PAID J&S AS OF 12/6/96, INVOICE #94-10-827

Reporting Source: Firm



Current Status:	Final
Appealed To and Date Appeal Filed:	N/A
Allegations:	FAILURE TO COMPLY WITH NET CAPITAL; UNFAIR PRICES
Initiated By:	NATIONAL ASSOCIATION OF SECURITIES DEALERS INC.
Date Initiated:	12/22/1994
Docket/Case Number:	C 10940063
Principal Product Type:	Debt - Government
Other Product Type(s):	N/A
Principal Sanction(s)/Relief Sought:	Censure
Other Sanction(s)/Relief Sought:	
Resolution:	Consent
Resolution Date:	12/22/1994
Sanctions Ordered:	Censure Monetary/Fine \$40,000.00
Other Sanctions Ordered:	N/A
Sanction Details:	FINE IS JOINT AND SEVERAL VIOLATIONS OF ARTICLE III, SECTIONS 1 & 21 OF THE RULES OF FAIR PRACTICE; SEC RULE 17A-3(A)(2) & 17 A 3 (A) (II).
Summary:	N/A

End of Report



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***City Council Meeting
July 11, 2013
Agenda Item Transmittal***

Agenda Item #: 4
Agenda Title: Proclamation for August as Breast-Feeding Month
Council Action to be taken: Mayor will present Proclamation
Initiating Department: City Clerk
Staff Contact: Susan Brock, City Clerk

1. INTRODUCTION/PURPOSE

This item is added to proclaim August, 2013, as Breast-Feeding Month on behalf of the Williamson County & Cities Health District (WCCHD). A representative with the WCCHD will be present to accept the proclamation.

2. DESCRIPTION/ JUSTIFICATION

Each year, the City issues this proclamation to help promote breast-feeding and to focus on the WIC mission to improve the nutritional status of low and moderate income women, infants and children by providing food and nutrition and breast-feeding education.

3. FINANCIAL/BUDGET

N/A

4. TIMELINE CONSIDERATIONS

N/A

5. RECOMMENDATION

To Proclaim August, 2013, as Breast-Feeding Month

6. REFERENCE FILES

4a. [Proclamation](#)

PROCLAMATION

BREAST FEEDING MONTH

WHEREAS, the Williamson County & Cities Health District's Women, Infants and Children (WIC) program takes this occasion to educate and inform, to encourage breast-feeding and regular health care for mothers and babies; and.

WHEREAS, the WIC mission is focused on improving the nutritional status of low and moderate income women, infants, and children by providing food and nutrition and breast-feeding education.

NOW, THEREFORE, as Mayor of the City of Taylor, I hereby proclaim the month of August as

“BREAST-FEEDING MONTH”

in the City of Taylor, Texas and urge all citizens to take part in recognizing the successes of healthy mothers and healthy children and to support breast-feeding mothers.

PROCLAIMED this the 11th day of July, 2013.

Jesse Ancira, Jr., Mayor



City Council Meeting July 11, 2013 Agenda Item Transmittal

Agenda Item #: 5

Agenda Title: Consider introducing Ordinance 2013-10 Ordinance granting the Taylor Fire Department the authority to enforce violations of code, rules, administrative procedures, ordinances, and statutes within the city that pertain to fire and fire safety.

Council Action to be taken: Introduce Ordinance 2013-10

Initiating Department: Fire Department

Staff Contact: Pat Ekiss, Fire Chief

1. INTRODUCTION/PURPOSE

Section 109 of the 2009 International Fire Code adopted by the city gives authority to the Fire Chief or his designee to enforce the code and, if necessary, issue citations for violations directly related to life or fire safety. It is however the opinion of the Fire Chief, and legal counsel that an ordinance should be adopted that specifically states the purpose and authority described in the fire code.

2. DESCRIPTION/ JUSTIFICATION

The ordinance presented for consideration basically “completes the loop” and ensures that the city continues the goal of doing business in a proper and legal manner. The ordinance is considered by many as a house keeping issue. Adoption ensures that in those rare instances where a fire official is forced to cite an individual or responsible party for a witnessed violation of the code involving life or fire safety that the citation may then be upheld by the court or courts hearing the case. Our primary goal is to educate our citizens and businesses about fire and life safety issues and to cite only when absolutely necessary.

3. FINANCIAL/BUDGET

There is no additional cost or financial impact beyond what is currently listed in the fee schedule for fire code violations.

4. TIMELINE CONSIDERATIONS

N/A

5. RECOMMENDATION

Staff recommends introduction of ordinance at this meeting with approval on July 25th.

6. REFERENCE FILES

5a. [Ordinance 2013-10](#)

ORDINANCE 2013-10

ORDINANCE GRANTING THE TAYLOR FIRE DEPARTMENT AUTHORITY TO ENFORCE VIOLATIONS OF CODES, RULES, ADMINISTRATIVE PROCEDURES, ORDINANCES, AND STATUTES WITHIN THE CITY THAT PERTAIN TO FIRE, FIRE SAFETY. FIRE PREVENTION, AND ISSUES THAT IMPACT FIRE AND FIRE SAFETY; PERMITTING THE TAYLOR FIRE DEPARTMENT TO ISSUE CITATIONS, SUMMONS, AND OTHER WRITS OR PROCESSES FOR ANY VIOLATIONS PERTAINING TO FIRE, FIRE PREVENTION, AND ISSUES THAT IMPACT FIRE AND FIRE SAFETY; PROVIDING SAVINGS AND REPEALER CLAUSES.

PREAMBLE

WHEREAS, the Taylor Fire Department has authority over issues pertaining to fire, fire safety, fire prevention, and issues that impact or relate to fire and fire safety ("Fire and Fire Safety"); and

WHEREAS, the Taylor Fire Department is granted authority under the International Fire Code and other fire codes to enforce Fire and Fire Safety violations; and

WHEREAS, it is in the best interest of the City that the Taylor Fire Department also be granted authority under this Ordinance to enforce violations within the City under codes, rules, administrative procedures, ordinances and statutes that impact or relate to Fire and Fire Safety; and

WHEREAS, the City Council desires to grant authority to the Taylor Fire Department, which authority shall be in addition to the authority vested in the Taylor Police Department regarding the same matters, to issue citations, summons, and other writs or processes for any violation pertaining to the Fire and Fire Safety; and

WHEREAS, the City Council desires to appoint the Taylor Fire Department Fire Chief or designees appointed by the Fire Chief authority to enforce violations pertaining to Fire and Fire Safety and to administer such enforcement by the Taylor Fire Department.

NOW THEREFORE, BE IT ORDAINED BY THE CITY COUNCIL OF THE CITY OF TAYLOR, TEXAS:

SECTION 1. All of the facts recited in the preamble to this Ordinance are hereby found by the City Council to be true and correct and are incorporated by reference herein and expressly made a part hereof, as if copied herein verbatim.

SECTION 2. The Taylor Fire Department Fire Chief or designees appointed by the Fire Chief shall have authority to enforce violations pertaining to Fire and Fire Safety under any laws, statutes, codes, rules, administrative procedures, and ordinances pertaining to Fire and Fire Safety and to administer the enforcement by the Taylor Fire Department.

SECTION 3. The authority granted to the Taylor Fire Department is cumulative to the authority granted to the Taylor Police Department.

SECTION 4. The Taylor Fire Department authority shall included issuance of citations, ticket, summons, and other writs or processes for violations of Fire and Fire Safety.

SECTION 5. The authority granted to the Taylor Fire Department shall be in addition to and cumulative of authority vested in the Taylor Police Department regarding Fire and Fire Safety.

SECTION 6. If any paragraph, sentence, phrase or other portion of this Ordinance should be declared to be unconstitutional by a court of competent jurisdiction, the holding shall not affect the remainder of this Ordinance and all portions of the Ordinance not held to be invalid shall continue and remain in full force and effect.

SECTION 7. All provisions of any Ordinance of the City of Taylor, Texas, in conflict with the provisions of this Ordinance shall be, and the same are hereby repealed, and all other

provisions not in conflict with the provisions of this Ordinance shall remain in full force and effect.

In accordance with Article VIII, Section 1 of the City Charter, this Ordinance was introduced before the City Council of the City of Taylor, Texas, on the ____th day of July, 2013.

PASSED, APPROVED and ADOPTED on this the _____ day of _____, 2013.

JESSE ANCIRA, JR., Mayor

ATTEST:

Susan Brock, City Clerk

APPROVED AS TO FORM:

Ted W. Hejl, City Attorney

CERTIFICATE

THE STATE OF TEXAS

COUNTY OF WILLIAMSON

I, Susan Brock, being the current City Clerk of the City of Taylor, Texas, do hereby certify that the attached is a true and correct copy of Ordinance No. 2013-10 , passed and approved by the City Council of the City of Taylor, Texas, on the ____ day of _____, 2013, and such Ordinance was duly introduced, passed, approved and adopted at meetings open to the public and notices of the meetings, giving the dates, places, and subject matter thereof, were posted as prescribed by Government Code Section 551.043.

Witness my hand and seal of office this, the ____ day of _____, 2013.

Susan Brock

City Clerk



***City Council Meeting
July 11, 2013
Agenda Item Transmittal***

Agenda Item #: 6

Agenda Title: Consider officially accepting donation of \$50,000 to the Moody Museum from the Nancy Paxton Moody estate.

Council Action to be taken: Formally accept this donation

Initiating Department: City Administration

Staff Contact: Jim D. Dunaway, City Manager

1. INTRODUCTION/PURPOSE

At your last meeting, Ms. Susan Komandosky, Chairperson of the Moody Museum Advisory Board, presented you with a check in the amount of \$50,000 that was donated by the late Nancy Paxton Moody estate. Gifts representing this amount require your formal acceptance and these funds will be earmarked for use by and for the Moody Museum only.

2. DESCRIPTION/ JUSTIFICATION

3. FINANCIAL/BUDGET

4. TIMELINE CONSIDERATIONS

5. RECOMMENDATION

Staff recommends formal acceptance of this very generous gift.

6. REFERENCE FILES



***City Council Meeting
July 11, 2013
Agenda Item Transmittal***

Agenda Item #: 7

Agenda Title: Discuss strategic planning process

Council Action to be taken: Provide direction to Staff

Initiating Department: City Administration

Staff Contact: Jim D. Dunaway, City Manager

1. INTRODUCTION/PURPOSE

At our last meeting, Mayor Pro Tem Gonzales requested that we add a discussion on future strategic planning to a future agenda. We have contacted a firm that we are familiar with to get an idea of a timeline that would be associated with the process should you choose to initiate a strategic planning exercise.

2. DESCRIPTION/ JUSTIFICATION

3. FINANCIAL/BUDGET

Since this may be considered a professional service, costs were not added to this discussion. Costs would be discussed with the successful firm after the selection process.

4. TIMELINE CONSIDERATIONS

A timeline is attached for your review.

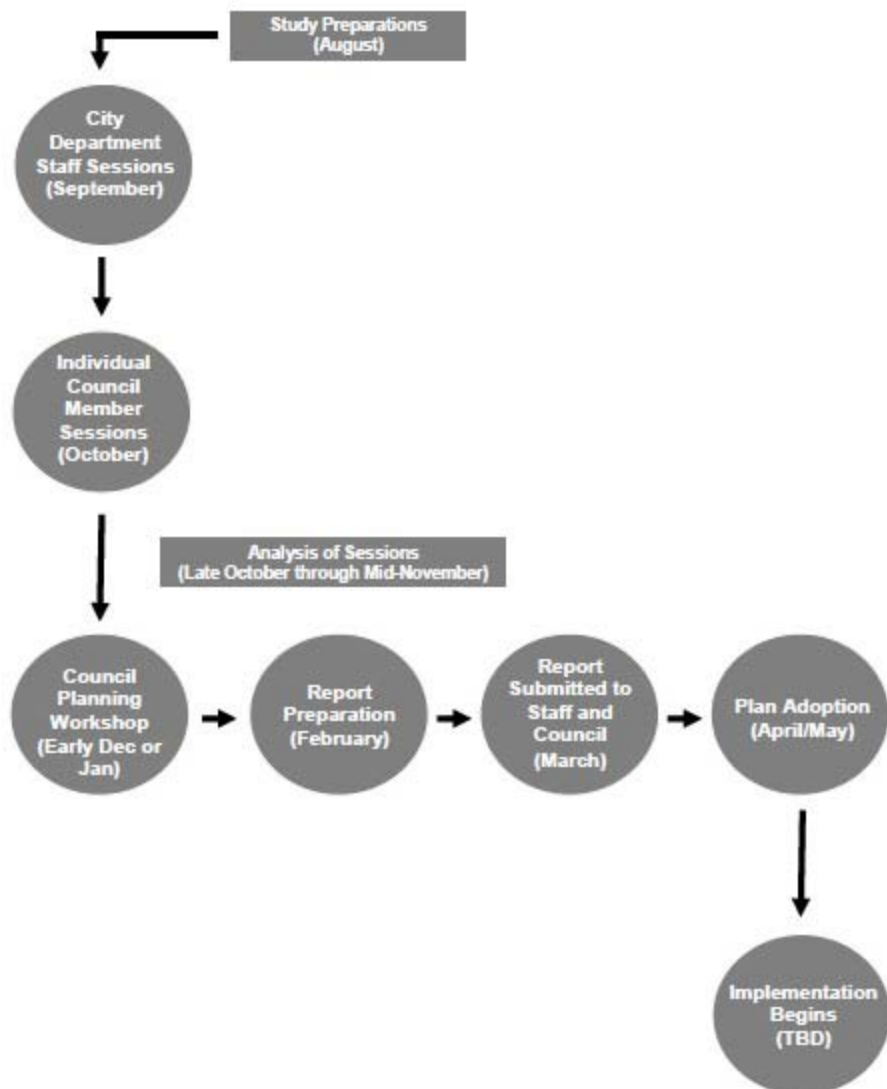
5. RECOMMENDATION

Staff is simply seeking direction on whether or not you would like for us to proceed with developing a RFP for this exercise.

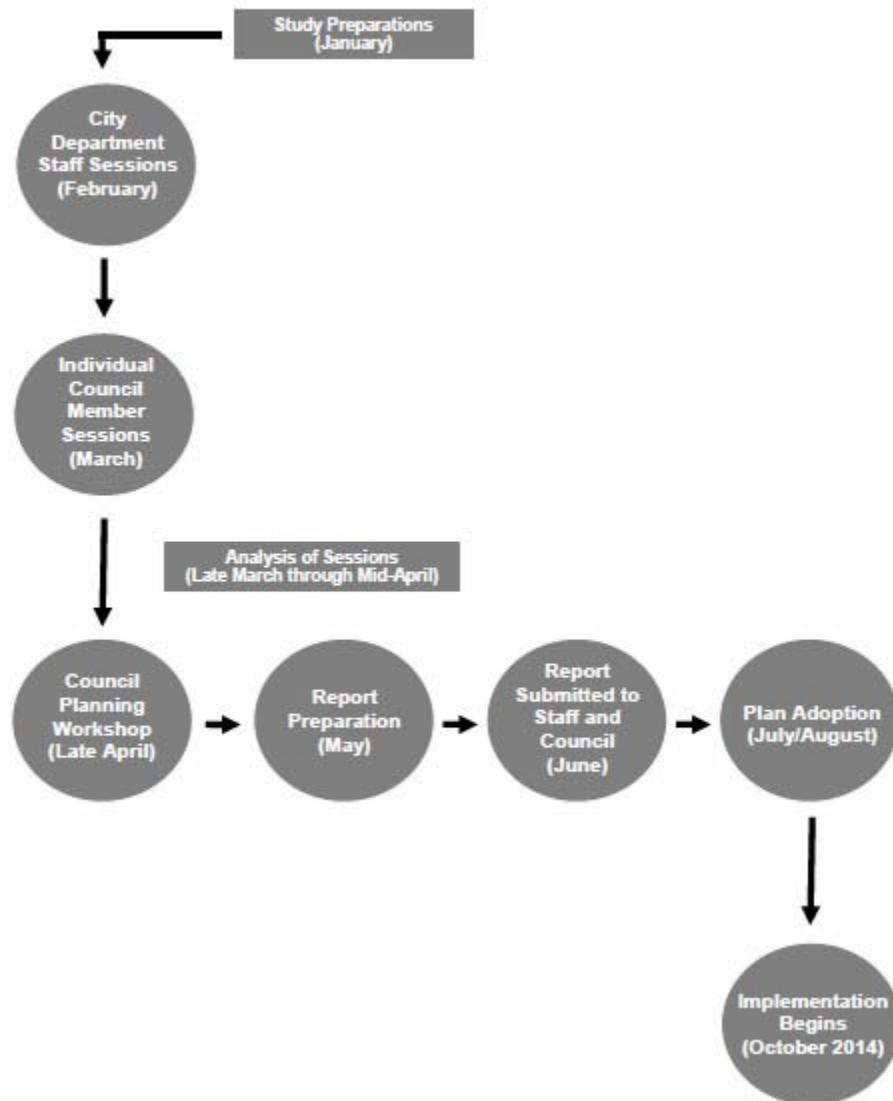
6. REFERENCE FILES

- 7a. [Fall timeline](#) for Strategic Planning Process
7b. [Spring timeline](#) for Strategic Planning Process

City of Taylor
Proposed Strategic Planning Process and Timeline – Fall Option



City of Taylor
Proposed Strategic Planning Process and Timeline – Spring Option





***City Council Meeting
July 11, 2013
Agenda Item Transmittal***

Agenda Item #: 8

Agenda Title: Consider proposed future agenda items and topics for discussion.

Council Action to be taken: No action can be taken on this item

Initiating Department: City Administration

Staff Contact: Jim D. Dunaway, City Manager

1. INTRODUCTION/PURPOSE

This is a new item being added to your agendas at the request of Mayor Ancira. Under this item, we will be receiving input regarding future agenda items from you. This agenda item will be added as a routine matter on each of your upcoming agendas and will not be an action item since no specific items are listed for consideration. Staff will be also bringing potential agenda items before you at this time.

2. DESCRIPTION/ JUSTIFICATION

3. FINANCIAL/BUDGET

4. TIMELINE CONSIDERATIONS

5. RECOMMENDATION

6. REFERENCE FILES
